

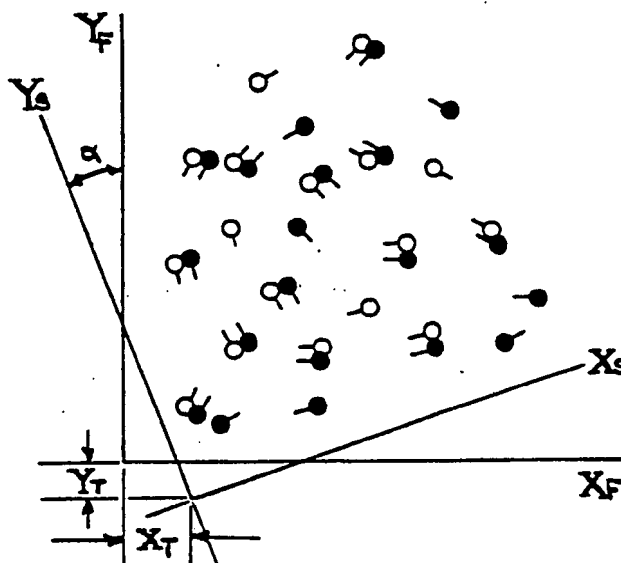
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>3</sup> :  G06K 9/68		A1	(11) International Publication Number: WO 82/ 01434  (43) International Publication Date: 29 April 1982 (29.04.82)
(21) International Application Number: PCT/US81/01412 (22) International Filing Date: 20 October 1981 (20.10.81) (31) Priority Application Numbers: 198,692 200,703 (32) Priority Dates: 20 October 1980 (20.10.80) 27 October 1980 (27.10.80) (33) Priority Country: US (71) Applicant: ROCKWELL INTERNATIONAL CORPORATION [US/US]; 2230 East Imperial Highway, El Segundo, CA 92667 (US). (72) Inventor: ELSEY, John, Charles <sup>*</sup> ; 1311 E. Adams Avenue, Orange, CA 92803 (US).			(74) Agents: HAMANN, H. Fredrick et al.; Rockwell International Corporation, 3370 Miraloma Avenue, 008, AB06 Anaheim, CA 92803 (US). (81) Designated States: AT (European patent), CH (European patent), DE (European patent), DK, FR (European patent), GB (European patent), JP, LU (European patent), NL (European patent), NO, SE (European patent). Published <i>With international search report.</i>

(54) Title: FINGERPRINT MINUTIAE MATCHER

**(57) Abstract**

A machine or process for comparing fingerprints based on the correspondence between fingerprint minutiae. The pattern of minutiae in an unknown or search fingerprint is rotated and translated to obtain approximate registration with the pattern of minutiae in a known or file fingerprint. Following rotation and translation, only those search and file fingerprints that exhibit a sufficient number of mating minutiae between the fingerprints are compared further. For each pair of mating search and file minutiae, the neighboring mating minutiae are compared and an individual minutia 'match score' is determined based on the degree of correspondence between the other mating pairs of minutiae within a specified neighborhood of the individual pair of mating search and file minutiae. The individual 'match scores' for each of the mating minutiae are summed to yield a total score.



SEARCH MINUTIA SUPERIMPOSED ON FILE MINUTIA

'match scores' for each of the mating minutiae are summed to yield a total score that is indicative of the correspondence between the search and the file fingerprints.

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## FINGERPRINT MINUTIAE MATCHER

## BACKGROUND OF THE INVENTION

A fingerprint can be characterized by the locations and angular orientations of the ridge endings and ridge bifurcations within the fingerprint which data are referred to in this specification as "minutiae". Machines for the detection and listing of fingerprint minutiae are described in a number of U.S. Patents, including Nos. 3,611,290; 3,699,419; 4,083,035; and 4,151,512.

This invention pertains to processes and machines for the automatic comparison of one fingerprint, referred to here as the "search" fingerprint with another fingerprint, referred to as the "file" fingerprint, to determine if the two prints were made by the same finger.

A minutia pattern matcher invented by Riganati and Vitols is described in U.S. Patent No. 4,135,147. The present invention is closely related to the minutia pattern matcher invented by Riganati and Vitols. U. S. Patent No. 4,135,147 describes, in some detail, the prior art and the background to which both this invention and the minutiae pattern matcher pertain.

The minutia pattern matcher of Riganati and Vitols generates a "relative information vector" ("RIV") for each minutia in the unidentified ("search") fingerprint, which RIV is a detailed description of a minutia's immediate neighborhood of nearly surrounding minutiae. The matcher compares each RIV in the search print with each RIV in the known ("file") print and generates a match score for each comparison (see Cols. 8-12 of U.S. Patent No. 4,135,147). By means of a histogram, the matcher makes a global comparison of the individual matches and generates a "final score" which indicates, quantitatively, how closely the search print compares with the file print (see Col. 12 of U. S. Patent No. 4,135,147). Because the minutia pattern matcher compares each RIV in the search print with each RIV in the file print, the process involves a significant amount of effort.

The present invention significantly reduces the effort expended in the comparison, first, by performing a preliminary comparison of search and file minutiae on a global basis in order to reject file prints which bear little resemblance to the search print (to give a "quick out") and, second, by, in effect, comparing each search RIV with only a single,



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matching file RIV. The details of the present process also differ from those of the minutia pattern matcher.

#### SUMMARY OF THE INVENTION

This invention is a machine or process that compares or "matches" fingerprint minutia patterns. The result of this matching process is a match score which is a measure of the similarity of the two minutia patterns, with a high match score indicating a high degree of similarity. The machine of this invention is a general purpose computer, such as the IBM 7090, that has been programmed in accord with this specification.

The inputs to the machine are (1) the minutia data for the fingerprints being matched (one print is designated the search print, the other the file print), which minutia data consist of the locations (x,y) and angular orientations ( $\theta$ ) of the minutiae, and (2) a set of machine operating parameters. The minutia data are ordered in a  $\theta$  in a lowest to highest values of  $\theta$ . Tables 1(a) and 9(b) show an example of minutia data in tabular form (the format in which the computer stores and uses the data), and Figures 1A, B and C are plots of such data.

The object of the invention is to measure the similarity between two minutia patterns, such as those shown in Figures 1A and 1B. A high degree of similarity exists between the patterns in Figures 1A and 1B, as is shown in the superimposed patterns of Figure 1C where the search minutia of Figure 1A have been rotated by an angle  $\alpha$  and translated in X an amount  $X_T$  and in Y an amount  $Y_T$ , and then superimposed on the file pattern.

One measure of similarity is the number of corresponding minutiae. To determine this number, one can think of a small box being drawn around each search minutia as shown in Figure 2A. If there is a file minutia within the box which also has the minutia angle close to the search minutia angle (say, within  $25^\circ$ ), then the two minutiae are said to correspond, or to be mates. Figures 2A, B and C illustrate several cases of mating, non-mating, and multiple mating minutia pairs. There are 13 corresponding or mating minutia pairs in Figure 1C. This number of mating minutiae, designated  $M_M$ , is used as a preliminary measure of similarity.



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If  $M_M$  is sufficiently high, a score is computed for each search minutia based on the number of neighboring search minutiae (up to some number such as eight) that also have a mating file minutia, and on the degree of correspondence between the neighboring, mating file and search minutia. The match score for the entire fingerprint is the sum of the scores for each search minutia.

If the number of mating minutiae,  $M_M$ , is not greater than some specified threshold, the file print is considered to be unrelated to the search print, and the fingerprints are not compared further.

Table 2 is a listing of all the major steps in the comparison or matching process. A more detailed functional description of each of these steps is given in the following sections.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figures 1A and 1B are examples of search and file minutiae respectively; Figure 1C shows the search minutiae of Figure 1A rotated and superimposed on the file minutiae in Figure 1B;

Figures 2A, B and C show examples of mating and non-mating pairs of minutiae;

Figure 3 shows the search minutiae of Figure 1A with each minutiae numbered;

Figures 4A and 4B contain a second example of search and file minutia patterns;

Figure 5 is a two-dimensional histogram for the example in Figures 4A and 4B;

Figure 6 is a flow diagram of the logic used to compare the search and file minutiae;

Figure 7 contains an example of overlaid plots of search and file minutiae;

Figure 8 is a logic flow diagram illustrating the detailed logic for processing the NHIT list of Table 8; and

Figure 9 is a flow diagram illustrating the minutia pairing logic.



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## DESCRIPTION OF THE PREFERRED EMBODIMENT

## 1.0 PREPARATION OF SEARCH MINUTIA DATA

In a typical application of the invention, a single search fingerprint is compared against many file fingerprints. Certain computations, involving the initial search minutia data only, are done only once at the beginning of the series of comparisons.

## 1.1 SORT INTO ANGLE ORDER

To decrease the computation time, the search minutiae are sorted, based on their angle, in ascending order as shown in Table 1. If the minutiae are already sorted with respect to  $\theta$ , this step is skipped.

## 1.2 FIND CLOSEST NEIGHBORS

Since the scoring for each pair of mating minutiae is dependent on the number of neighbors that also have mates, the  $N_N$  nearest neighbors for each search minutia must be defined. The number  $N_N$  is a match parameter selected by the machine operator and is typically chosen to be in the range of 6 to 12. The "nearness" measure is the sum of the absolute values of the differences in the X and Y coordinates between two minutiae. Such a measure is easily computed and results in a diamond shaped neighborhood area. Figure 3 shows the search minutiae of Figure 1A with each minutia numbered. Table 3 lists the nearest eight neighbors for some of the search minutiae. The nearest neighbors for each minutia are determined by computing the distance from each minutia to all other minutiae and selecting the  $N_N$  closest minutia as the nearest neighbors.

## 1.3 ROTATE SEARCH MINUTIAE FOR EACH ANGULAR POSITION

Since the X, Y,  $\theta$  minutia values for the search and for the file fingerprints initially are not located with respect to a unique coordinate system, it usually is necessary to rotate one of the minutia patterns with respect to the other to properly align the matching fingerprints, as illustrated, for example, in Figure 1C. There appears to be no straightforward method of computing a best rotation based on some criteria such as

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a least-squared-error fit. Accordingly, in this process, the search minutiae are rotated through a series of preselected angles and these rotated sets of minutiae are stored. In the matching process, each set of rotated search minutiae are compared with the file print and the set which gives the best match (as measured by the number of paired minutiae) is used in computing the match score for the pair of prints being compared.

In the preferred embodiment, a discrete set of rotations,  $N_R$ , spaced 5.6 degrees apart are used in the matching process. A set of ten such rotations covers a range of  $\pm 28$  degrees and normally is sufficient to allow for variations in fingerprint orientation. The number of rotations,  $N_R$ , is a match parameter specified by the operator, and can be made as large as desired in order to accommodate larger uncertainties in print orientation. Since a larger number of rotations would require more comparisons, it is desirable to use as small a value of  $N_R$  as practicable.

Functionally, the rotated X and Y minutia values are computed by the matrix equation

$$\begin{bmatrix} X_R \\ Y_R \end{bmatrix} = \begin{bmatrix} \cos\alpha & \sin\alpha \\ \sin\alpha & \cos\alpha \end{bmatrix} \begin{bmatrix} X_S \\ Y_S \end{bmatrix} \quad (1)$$

where  $X_R, Y_R$  are the rotated minutia values,  $X_S, Y_S$  are the initial search minutia values, and  $\alpha$  is the rotation angle. In order to use only integer computations and to avoid using sine and cosine functions, the following approximations are used for the sine and cosine computations:

$$\cos\alpha = 1 - 32/CDIV(N) \quad (2)$$

$$\sin\alpha = 32/SDIV(N) \quad (3)$$

The  $CDIV(N)$  and  $SDIV(N)$  functions are represented by integer tables which have values for each  $N$  corresponding to discrete values of  $\alpha$ . Values of  $CDIV(N)$  and  $SDIV(N)$  are computed from the inverse of the above equations and have the form

$$CDIV(N) = \frac{32}{1 - \cos\alpha} \quad (4)$$

$$SDIV(N) = \frac{32}{\sin\alpha} \quad (5)$$



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where  $N$  has values  $1, 2, \dots, N_{RT}$ ,

$\alpha$  has values  $(-N_{RT}+1)(5.6^\circ), (-N_{RT}+3)(5.6^\circ), (-N_{RT}+5)(5.6^\circ),$   
 $\dots, (-N_{RT}+2N_{RT}-3)(5.6^\circ), (-N_{RT}+2N_{RT}-1)(5.6^\circ)$

and  $N_{RT}$  is the total number of rotations permissible and is an even integer.

The values of  $\theta$  for each rotation can be obtained simply by adding an angle to each  $\theta$  value equal to the rotation  $\alpha$  defined above. This addition, however, is performed later in the matching process, thus avoiding the creation of an additional array of rotated values for  $\theta$ .

In order to minimize the computational errors in the rotation calculations, the search minutiae are initially centered over the origin. The rotation computations then are performed for the translated data set, and the rotated minutia sets then are retranslated to the first quadrant so that all  $X$  and  $Y$  values for the minutiae are positive.

## 2.0 PREPARATION OF FILE MINUTIA DATA.

Very little preparation of the file print minutia data is necessary or desirable since these computations need to be performed for each file fingerprint with which the search print is compared. The file data are arranged in order with respect to  $\theta$  and the minimum and maximum minutia  $X$  and  $Y$  values are determined for the file print, but these calculations need be done only once for each file print for instance, at the time the file print data is added to the data base. A simple computation also can be done at the time the file print is added to the data base to determine the quantization parameters for use with the histograms described in the next section.

## 3.0 PRINT REGISTRATION

Print registration or orientation matching requires the determination of the best angular rotation and the  $X$  and  $Y$  offsets or translation that are necessary to superimpose the search minutia pattern upon the file minutia pattern. This task is accomplished by constructing for each of the  $N_R$  rotations of the search minutia pattern, a two dimensional histogram of the displacements in  $X$  and  $Y$  needed to overlay each search minutia with each file minutia for which the values of  $\theta$  differ by less





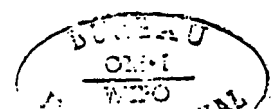
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than some threshold, which threshold is a matching parameter selected by the operator. If the computed displacements for a pair of search and file minutiae are greater than some specified threshold, this minutia pair is omitted from the histogram. An example of such a pair of minutia would be one near the top of one print and the other near the bottom of the other print. Such minutiae would not represent mating pairs. A large peak in the histogram indicates a large number of mating minutia pairs, and the coordinates of that peak give the X and Y offsets needed to give the best line up of the two minutia patterns for a particular angular rotation.

To illustrate and more precisely describe these operations, consider the example minutia patterns shown in Figures 4A and 4B, which example differs from the one shown in Figures 1-3. The search minutia pattern is one of the rotated sets of search minutia patterns. If the file minutia pattern is shifted 10 units in X and 10 units in Y (10 is added to each of the minutia X and Y values), there is almost a perfect correspondence between the search and file minutia patterns. Table 4 contains a minutia comparison matrix. This matrix lists the result of comparing each search minutia (the leftmost column of the matrix) with each file minutia (the top row of the matrix). The matrix entries show the results of the comparison. The letter A indicates that the tail angles for the two minutiae corresponding to that matrix element (e.g., search minutia, S1, and file minutia F8) differ by more than the allowed amount (30 degrees).

The two numerical entries for each pair of file and search minutia (e.g., 24, -20 for S2, F1) indicate the increments in X and Y that must be added to the file minutia data in order to superimpose that file minutia on top of the search minutia after the centers of the search and file minutia patterns have been made coincident.

The coordinates for the center of the search print are the average of the X and Y values respectively for the search minutiae. The Y coordinates for the center of the file print are the mid-points between the maximum and minimum values of X and Y respectively for the file minutiae. The center for each minutia pattern is shown by the + symbol in Figures 4A and 4B.



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The coordinate values shown for each minutia in the top row and left column of the comparison matrix of Table 4 are with respect to the center of the print. Thus, to compute the translations in X and Y,  $\Delta X$  and  $\Delta Y$ , that are required to superimpose two minutiae, such as S2 and F4, the values of the file minutia are subtracted from the values of the search minutia, as shown by the equations of Figure 4. For the S2, F4 minutia pair, these differences are 12 and 10 for X and Y, respectively, as shown in the F4 column and S2 row of the comparison matrix. The +2 term in the X translation equation of Figure 4 is necessary to allow for the non-alignment of the center of the minutia patterns (the coordinates of the center of the search minutiae are 28, 30 and for the file print center are 30-30 producing a difference in the X coordinates of 2).

The entries of the letter L indicate that the translation required for the superposition of two minutiae (e.g., S2, F5) exceeds a threshold which is half of the file minutia pattern width for X and half of the file minutia pattern height for Y. Both the X and Y translations must be less than these thresholds to avoid an L entry. The width and height of the file minutia patterns of Figure 4 are 55 and 50, respectively.

Using the numbers contained in the comparison matrix, a two dimensional histogram is constructed. Figure 5 shows such a histogram for the example of Figure 4. Each cell of the histogram corresponds to the translations in X and Y listed on the top and left edges of the histogram. The number within each cell indicates the number of minutia pairs that exist for a given X and Y translation of the search print. The histogram is constructed by first setting all cells in the histogram to zero and then incrementing (by 1) each histogram cell that corresponds to the numerical entries in the comparison matrix of Table 4. Thus, for example, the minutia pair S2, F3, with a comparison matrix entry of 24, 5 causes the contents of the (24,25; 5,4) histogram cell to be incremented by one. As can be seen by an examination of Figures 4 and 5, all of the correct or proper corresponding minutia pairs (e.g., (S1,F3), (S3,F4) etc.), cause either the (14,15; 11,10) histogram cell or an adjacent cell to be incremented.

To determine from the histogram the best X,Y translation, a search is made of the histogram cells to find the cell with the maximum value. The coordinates of the cell with the maximum value gives the translation values in X and Y which yield the maximum degree of matching. Because of the discrete nature of the process, a slight modification of the procedure is used to avoid edge or boundary problems that produce quantization



errors. In the example, there actually are eight pairs of corresponding minutiae. Only four of these pairs are counted in the (14,15; 11,10) histogram cell. The counts for the other four pairs appear in the left and top adjacent cells due to slight variations in the spacing between minutiae of the two patterns. To allow for these edge or boundary problems, the maximum count for the histogram is computed based on the sum of the counts for four adjacent cells. Thus, the maximum count for the histogram of Figure 5 is eight, and using the center of the cluster of four cells that gives this maximum, the X and Y translations that best line up the two minutia patterns are (using integer computations) 13 and 11 (assuming an initial alignment of the print centers).

The actual mechanization of this alignment procedure, while functionally the same, is somewhat different computationally from that described in the example. One difference is that a comparison matrix as such is never constructed; the computations are done for each minutia pair comparison by means of two nested DO loops, with the histogram being updated at the completion of each minutia pair computation. The desirability of having the minutiae sorted by angle is apparent from an examination of the comparison matrix of Table 4, since all of the A entries for a given row are in one or two sequential groups which include at least one end of the row. Logic is used in the DO loop computation based on these sequential angle differences to reduce the number of minutia pair computations.

Other computation differences are concerned with the manner in which the boundary problem for the histogram is handled and the construction of the histogram for the matcher where, in effect, four more or less independent computations proceed in parallel.

The minutia pattern line-up or registration process is functionally identical to a two-dimensional discrete pattern correlation process wherein one pattern is placed on top of another, the number of corresponding features are counted, a correlation matrix element is incremented, the pattern is shifted a small increment, and the corresponding features again are counted, etc.

In order to determine the best rotation angle for lining up or registering two prints, histograms as described above are constructed in sequence for each rotation angle. The rotation angle which gives the maximum histogram entry is the best rotation angle. If there is more than one maximum in the histogram (i.e., two or more cells have the same



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count which is higher than all others), the coordinates for each maximum are computed and stored as well as the rotation angles. Such a condition represents two equally good pattern registrations as determined by the above registration process. The rest of the matching process is executed for each of these maximums (up to five) and a match score is computed for each. The highest resulting match score is taken as the print match score.

#### 4.0 TEST FOR EARLY OUT

After the two prints have been registered, the maximum histogram entry,  $M_M^*$ , is a measure of how well the minutia patterns match since it is approximately the number of minutiae that are mates. (This measure is not exact because of possible double counting - one search minutia might be "paired" with more than one file minutia by the above process.) A comparison of  $M_M^*$  is made with an early out threshold,  $E_T$ .  $E_T$  is a matching parameter that is specified by the operator. The value of  $E_T$  is dependent on the type of search prints used. A typical value for latent search prints is 15. If  $M_M^* < E_T$ , a zero match score is assigned, and no further match computations are performed for these two prints. If  $M_M^* > E_T$ , a more refined minutia pairing and scoring procedure is used, as described in the following sections.

#### 5.0 MINUTIA PAIRING AND SCORING PROCEDURE

The process for minutia pairing and scoring is outlined in Figure 6. Figure 6 is a flow diagram of the pairing and scoring process. The various procedures indicated by the blocks in Figure 6 are discussed in more detail in the following subsections. The process is illustrated in Figure 7 for which the corresponding minutia data are tabulated in Table 5. Figure 7 contains an example of the overlaid plots corresponding to tabular listings of X, Y and  $\theta$  minutia values and is used to illustrate the specifics of the process.

##### 5.1 FORMATION OF INITIAL HIT LIST

The first step in the minutia pairing and scoring process is the formation of a list called the "HIT" list which is a list of the search



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and file minutiae which are near enough to each other to be considered as potential mating pairs of minutiae. Table 6 is a "HIT" list for the example illustrated in Figure 7 and lists for each search minutia those file minutiae which are "close to" it. In order for a file minutia to be considered close to a search minutia, the file  $X$ ,  $Y$  and  $\theta$  values must satisfy the equations

$$\begin{aligned} |X_{Si} - X_{Fj}| &= \Delta X_{ij}, \quad \Delta X_{ij} \leq E_X \\ |Y_{Si} - Y_{Fj}| &= \Delta Y_{ij}, \quad \Delta Y_{ij} \leq E_Y \\ |\theta_{Si} - \theta_{Fj}| &= \Delta \theta_{ij}, \quad \Delta \theta_{ij} \leq E_\theta \end{aligned} \quad (6)$$

$X_{Si}$ ,  $X_{Fj}$ ,  $Y_{Si}$ ,  $Y_{Fj}$ ,  $\theta_{Si}$ , and  $\theta_{Fj}$  represent the  $i$ th search and the  $j$ th file  $X$ ,  $Y$ , and  $\theta$  minutia values respectively, and  $E_X$ ,  $E_Y$  and  $E_\theta$  are the permissible  $X$ ,  $Y$  and  $\theta$  pairing errors.

For minutia pairs  $(i,j)$  which satisfy this criteria, a distance or closeness measure,  $D_{ij}$ , is computed as:

$$D_{ij} = \Delta X_{ij} + \Delta Y_{ij} + \Delta \theta_{ij} / S_\theta \quad (7)$$

where  $S_\theta$  is a quantity used to scale the  $\theta$  differences to the same range as the  $X$  and  $Y$  distances and depends on the units used to represent  $X$ ,  $Y$  and  $\theta$ . For  $X$  and  $Y$  measured in .008 inch units and  $\theta$  measured in 5.6 degree units,  $S_\theta$  would be 4. In addition to satisfying equations (6), in order for a file minutia to be considered close to a search minutia, the following distance relationship must also be satisfied:

$$D_{ij} \leq D_M \quad (8)$$

where  $D_M$  is the permissible distance error. This distance measure is also shown for each of the minutia pairs listed in Table 6. All file minutia which are "close" to a search minutia (up to a limit of four) are listed in the initial HIT list in ascending order of closeness as measured by  $D_{ij}$ , as shown in Table 6.



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## 5.2 NEIGHBORHOOD HIT LIST

The rest of the minutia pairing and scoring procedure involves examining all possible search and file minutia combinations and selecting that combination which tends to maximize the match score under a closeness-of-fit scoring technique for the neighboring pairs of minutiae. To determine which neighboring search minutiae also have mating file minutiae, a list is formed for each mating search minutia, called the "NHIT" list. An example of an "NHIT" list appears in Tables 7(a)-7(e). The left-most column of this list is a list of the N closest search minutia to that search minutia (called here the neighborhood center minutia) for which the list is formed. The right-hand most column is a list of file minutia (up to two) which are close to the search minutia listed in the left-most column of the table. These neighborhood closeness and distance measures are computed in accord with equations (6), (7) and (8), although different values of  $E_X$ ,  $E_Y$ ,  $E_\theta$ ,  $S_\theta$ , and  $D_M$  (i.e.,  $E_{XN}$ ,  $E_{YN}$ ,  $E_{\theta N}$ ,  $S_{\theta N}$ , and  $D_{MN}$ ) can be specified. That is, the tolerances and scaling factors can be different for the HIT and NHIT lists. In Table 7(a), the NHIT list for the search and file pair of minutia (S4,F4) is shown together with the nearness or closeness measure for the four closest neighbors to minutia S4 (i.e., S3, S2, S8, S1). This list only includes the two closest file minutiae for a given search minutia. Duplicate file minutiae are eliminated from the list according to a set of logic which first maximizes the number of search minutiae having a mating file minutia, and then minimizes the distance or nearness measure when two pairs of minutiae are considered at a time.

The operation of the logic is illustrated by means of the example NHIT list of Table 8 (the minutiae of the example are not related to those of the example in Table 5). The first minutia combination to be considered by the logic is the S1,F2 combination listed in the first row. However, an examination of the second row shows that F2 appears in this row also, and with a smaller distance than in row one. If minutia F2 is paired with S2 because of the smaller distance, then there is no minutia to pair with S1. In order to minimize the number of pairings, the selection is made as shown in the final pairing column of the list. The detailed logic for processing the NHIT list is shown in the flow chart of Figure 8. In Figure 8:



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NB = the number of neighbors for each search minutia  
 NHIT(I,1) = closest file minutia to the I search minutia in the  
           NHIT list  
 NHIT(I,2) = distance measure between the I search minutia in the  
           NHIT list and the NHIT (I,1) file minutia  
 NHIT(i,3) = next closest file minutia to the I search minutia in  
           the NHIT list  
 NHIT(I,4) = distance measure between the I search minutia in the  
           NHIT list and the NHIT(I,3) file minutia.

Following the logic of Figure 8 and working in a top-to-bottom fashion through the list to eliminate duplicate file minutiae and then selecting the pairing giving the smallest distance measure results in the pairing shown in the "final pairing" column of the list. This logic is not sufficiently complex to always produce an optimum solution since if the file entry for row three would have been (F7,2) instead of (F8,2), the final pairing for the first three rows would have been (F2,5), -, (F7,2) which is not as good as the selection -, (F2,2), (F7,2) for which the combined distance is 4 as compared to 7 for the less complex procedure. The simpler logic, however, is used in order to improve the matching speed since situations requiring the more complex logic are rare.

Once a NHIT list has been edited to eliminate duplicate file minutiae and the resulting, best search-file neighborhood minutia pairings have been determined (according to the above rules), the variance in the fit of the neighboring minutiae is computed. A combined variance over X, Y and  $\theta$  is computed as:

$$\sigma^2 = \frac{1}{3} \left[ \sigma_x^2 + \sigma_y^2 + \sigma_\theta^2 / s_\theta \right] \quad (9)$$

whereas:



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$$\sigma_X^2 = E[(\Delta X - M_{\Delta X})^2] = \frac{1}{N_M} \sum_{j=1}^{N_M} (\Delta X_j)^2 - \left[ \frac{1}{N_M} \sum_{j=1}^{N_M} \Delta X_j \right]^2$$

$$\sigma_Y^2 = E[(\Delta Y - M_{\Delta Y})^2] = \frac{1}{N_M} \sum_{j=1}^{N_M} (\Delta Y_j)^2 - \left[ \frac{1}{N_M} \sum_{j=1}^{N_M} \Delta Y_j \right]^2 \quad (10)$$

$$\sigma_\theta^2 = E[(\Delta \theta - M_{\Delta \theta})^2] = \frac{1}{N_M} \sum_{j=1}^{N_M} (\Delta \theta_j)^2 - \left[ \frac{1}{N_M} \sum_{j=1}^{N_M} \Delta \theta_j \right]^2$$

$S_\theta$  is a quantity used to scale the  $\sigma_\theta^2$  values to the same range as  $\sigma_X^2$  and  $\sigma_Y^2$ .  $\Delta X_j$ ,  $\Delta Y_j$ ,  $\Delta \theta_j$  are the X, Y and  $\theta$  differences between neighboring search and file minutiae, and  $N_M$  is the number of matching neighbors. Again  $S_\theta$  is a function of the units used to measure X, Y and  $\theta$ . For X and Y measured in units of 0.008 inches and  $\theta$  in units  $5.6^\circ$ ,  $S_\theta$  is in the range of 16-32.

In order to use integer arithmetic, equations (10) are computed using a different scale factor  $S_\sigma$  to scale the computed  $\sigma^2$  values to appropriate integer values. The value of  $S_\sigma$  depends on the scoring table used and for the scoring table of Table 9,  $S_\sigma = 4$ . In FORTRAN notation, the equation for determining  $\sigma_X^2$ , equation (10), has the form:

$$IVX = ((MXIS - (MXI * MXI) / NMM) * IVARF / NMM)$$

where:

$$IVX = \sigma_X^2$$

$$MXIS = \sum_{j=1}^{N_M} \Delta X_j^2$$

$$MXI = \sum_{j=1}^{N_M} \Delta X_j$$

$$NMM = N_M$$

$$IVARF = S_\sigma$$

(11)





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Tables 7(b) and 7 (d) show the variance computations for the (S4,F4) and (S4,F2) minutia pairs respectively. In these computations,  $S_\sigma = 4$  and  $S_\theta = 22.5$ . The integer scaled values of  $\sigma^2$  are indicated by  $\sigma_S^2$ .

Having computed the variance in the neighborhood fit of minutia, the individual minutia score  $S_{M1}$  is determined from a two-dimensional scoring table. An example of such a scoring table is shown in Table 7(e). One dimension of the table is  $N_M$ , the number of matching neighbors, and the other is  $\sigma_S^2$ , the combined, scaled variance of the fit. The individual minutia score for the (S4,F4) minutia pair is 0 because  $\sigma_S^2$  is greater than 14, the largest  $\sigma_S^2$  entry of the table, while the individual minutia score for the S4,F2 minutia pair is 60 (the fourth row and fourth column entry of Table 7(e)). A careful examination of the minutia patterns of Table 5 shows that the (S4,F2) pairing gives a much better fit for the neighboring minutiae as the  $\sigma_S^2$  computation for this pairing indicates.

Table 9 is the scoring table used in the preferred embodiment. The table is treated in the computer program as a one-dimensional table for purposes of speed and the indices to the table are computed using the specified minimum and maximum values for  $N_M$  and  $\sigma_S^2$ . This procedure, in effect, specifies a score for all of  $N_M, \sigma_S^2$  space but it does not require an infinite table of scoring values. Thus, using FORTRAN type notation,

If  $N_M > N_{MX}$ ,  $N_M = N_{MX}$

If  $N_M < N_{MN}$ ,  $S_M = 0$

If  $\sigma_S^2 > \sigma_{SX}^2$ ,  $S_M = 0$

If  $\sigma_X^2 < \sigma_{SN}^2$ ,  $\sigma_S^2 = \sigma_{SN}^2$

(12)



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where  $N_{MX}$ ,  $N_{MN}$ ,  $\sigma_{SX}^2$ , and  $\sigma_{SN}^2$  are the maximum and minimum allowed values of  $N_M$  and  $\sigma_S^2$  respectively. In Table 9  $N_{MX} = 12$ ,  $N_{MN} = 4$ ,  $\sigma_{SX}^2 = 30$ , and  $\sigma_{SN}^2 = 1$ . Table 9 was developed by intuitive and empirical means so as to give a high score when the search and file fingerprints are similar, and a low score when they are dissimilar.

When the score  $S_{Mij}$ , for a given search minutia-file minutia pair (as listed in the initial HIT list), has been determined from its relationship to its closest neighbors, this score is entered in the initial HIT list in place of the distance measure initially computed for this minutia pair. This procedure is repeated until scores have been determined for all minutia pairs defined by the initial HIT list. Table 10(a) is the HIT list for Table 6 with the distance measure replaced by the individual minutia scores. In order to avoid considerable hand computations to provide this example, all of the  $S_{Mij}$  entries except for the  $S_{M44}$  entries are rough approximations, but are sufficiently representative to illustrate the essential features of the process.

### 5.3 DETERMINATION OF FINAL HIT LIST AND INDIVIDUAL MINUTIA SCORE

When all of the score entries have been made in the initial HIT list, the file minutia entries for each row are re-ordered to be in decending order based on the score entries, and only the first two entries are retained in the HIT list. The right-most column of the example HIT list of Table 10(a) shows the effect of this or-ordering and truncation.

Using the truncated, score-ordered HIT list, multiple file minutiae are eliminated by selecting that pairing which maximizes the total score when minutia pairings are considered two at a time. The selection process for the example of Table 10 is straightforward- in the right-most column of Table 10(a), the file minutia with the lowest score is always eliminated if multiple entires exist. Those file minutiae to be eliminated are indicated by a star (\*) in this table.

A situation not quite so straightforward is shown in Table 11. If the lowest scoring file minutiae are eliminated, there is no mating file minutia for search minutiae S2, S4, S7, and S8. The selection logic considers the pairing for two search minutiae at a time and is such that the combined score for the two minutia pairing is maximized. Figure 9



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contains a flow chart of the process. In Figure 9:

NS = number of search minutia

HIT(i,1) = file minutia number with largest score on ith row  
of HIT array

HIT(i,2) = score for file minutia of H(i,1)

HIT(i,3) = file minutia number with second highest score  
on ith row of HIT array

HIT(i,4) = score for file minutia of H(i,3)

A HIT entry of 999 indicates an empty cell or no minutia pairing.

The result of the application of the process shown in Figure 9 to the example of Table 11 is shown in the right-most column of Table 11. The logic is not sufficiently complex to truly maximize the score over all possible pairing combinations. In the example of Table 11, the score would be five points higher if file minutia F9 were paired with search minutia S3 instead of S4 as shown. Such situations requiring more complex logic, however, seem to be very rare, and hence the added logic complexity that would be needed to handle such situations is not included as part of the match procedure.

## 6.0 FINAL MATCH SCORE

The final match score for the entire print is simply the sum of the match scores for each individual search minutia, as determined from the final HIT list. This is illustrated at the bottom part of Table 10(b).

The invention is mechanized by means of a FORTRAN routine run on any suitable computer system such as the IBM 7090. Appendix I contains a FORTRAN listing of the computer program. Appendix II contains a list of the more significant program variables.

Although the invention has been described and illustrated in detail, it is clearly understood that the same is by way of illustration and example only and is not to be taken by way of limitation, the spirit and scope of this invention being limited only by the terms of the appended claims.



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MINUTIA NO.	MINUTIA COORDINATES		
NO.	X	Y	$\theta$
1	103	13	2
2	18	21	8
3	51	93	40
4	8	29	45
5	30	40	90
6	90	79	130
7	117	43	135
8	116	87	135
9	90	50	160
10	55	30	165
11	120	24	172
12	86	21	174
13	72	98	182
14	101	111	184
15	47	16	187
16	42	99	220
17	23	72	250
18	60	70	295
19	43	52	305
20	70	80	318

## a) SEARCH MINUTIA

MINUTIA NO.	MINUTIA COORDINATES		
NO.	X	Y	$\theta$
1	41	118	40
2	34	93	62
3	20	18	67
4	34	36	118
5	75	95	148
6	112	72	170
7	88	69	175
8	78	48	181
9	94	40	185
10	61	36	193
11	73	129	212
12	23	95	250
13	18	63	275
14	33	74	284
15	46	54	320
16	95	91	331
17	58	89	344

TABLE I

## b) FILE MINUTIA



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## LIST OF BASIC STEPS IN PROCESS

- 1.0 PREPARE SEARCH MINUTIA DATA
  - 1.1 Sort Into Angle Order
  - 1.2 Find Closest Neighbors
  - 1.3 Rotate Search Minutia For Each Angular Position
- 2.0 PREPARE FILE MINUTIA DATA
  - 2.1 Sort Into Angle Order
  - 2.2 Find Min and Max X and Y Values
  - 2.3 Compute Quantization Parameters
- 3.0 PRINT REGISTRATION (FIND BEST ANGLE ORIENTATION AND X, Y OFFSETS FOR LINING UP SEARCH AND FILE MINUTIA PATTERNS)
  - 3.1 For Each Angular Rotation, Build A Two Dimensional Histogram of X, Y Translations To Overplay All Possible Minutia Pairs
  - 3.2 Determine X, Y Translations Corresponding To Maximum of Histogram
  - 3.3 Determine Rotation Angle For Maximum of All Histograms
  - 3.4 Determine Maximum Value of All Histograms  $M_m^*$
- 4.0 TEST FOR EARLY OUT
  - 4.1 Compare  $M_m^*$  With Threshold  $E_T$
  - 4.2 IF  $M_m^* < E_T$ , Assign Zero Match Score, Exit
  - 4.3 If  $M_m^* > E_T$ , Proceed
- 5.0 "ROTATE, TRANSLATE" SEARCH MINUTIA TO BEST MATCH POSITION DETERMINE WHICH SEARCH MINUTIA MATE WITH WHICH FILE MINUTIA
- 6.0 FOR EACH SEARCH MINUTIA WHICH HAS A MATING FILE MINUTIA, TRANSLATE SEARCH MINUTIA SO THESE MATING MINUTIA COINCIDE COUNT HOW MANY OF  $N_m$  CLOSEST NEIGHBORING SEARCH MINUTIA ALSO HAVE A MATING FILE MINUTIA  $N_m$  COMPUTE THE INDIVIDUAL MINUTIA SCORE.  $I_{si} \cdot ASI_{si} = N_m^2$ 
  - 6.1 Form Initial "Hit" List
  - 6.2 Form Neighborhood Hit ("NHIT") List
  - 6.3 Determine Final Hit List and Individual Minutia Score
- 7.0 COMPUTE TOTAL FINAL MATCH SCORE  $S_M^{ASS} = \sum_{i=1}^{N_s} I_{si}$

TABLE 2

SUBSTITUTE SHEET



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MINUTIA NO.	8 NEAREST NEIGHBORS
1	11, 12, 7, 9, 15, 10, 6, 8
2	4, 5, 15, 10, 17, 19, 18, 12
3	16, 13, 20, 18, 6, 19, 17, 14
4	2, 5, 15, 10, 17, 19, 18, 12
5	4, 2, 17, 19, 10, 15, 18, 9
6	20, 13, 8, 14, 9, 18, 3, 7
...	

TABLE 3

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	F1 (-5,25)	F2 (20,10)	F3 (-5,0)	F4 (0,-6)	F5 (-20,-10)	F6 (-5,-15)	F7 (-25,3)	F8 (-28,5)	F9 (-20,-25)	F10 (5,-25)	F11 (27,-10)
S1 (7,10)	14,-15	-11,0	14,10	8,15	L	14,25	A	A	A	A	A
S2 (17,5)	24,-20	-1,-5	24,5	12,10	L	24,20	A	A	A	A	A
S3 (-8,0)	-5,-25	-26,-10	-1,0	-9,15	14,10	-1,15	A	A	A	A	A
S4 (7,-5)	L	-11,-15	14,-5	6,0	L	14,10	A	A	A	A	A
S5 (-18,6)	A	A	A	A	A	A	9,0	12,10	A	A	A
S6 (-13,18)	A	A	A	A	A	A	14,13	17,23	A	A	A
S7 (-8,-13)	A	A	A	A	A	A	A	A	14,12	-11,12	L
S8 (17,-15)	A	A	A	A	A	A	A	A	L	14,10	-8,-3

TABLE 4

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SEARCH MINUTIA			
NO.	X	Y	$\theta$
1	8	8	25
2	14	21	27
3	10	20	30
4	6	17	32
5	36	10	150
6	31	8	155
7	26	14	160
8	14	14	235
9	28	22	325
10	32	22	330
11	39	22	340

TABLE 5

FILE MINUTIA			
NO.	X	Y	$\theta$
1	18	23	25
2	10	19	25
3	14	22	28
4	5	14	30
5	8	9	30
6	12	10	32
7	28	16	150
8	24	12	160
9	39	12	160
10	35	9	162
11	27	8	170
12	12	15	210
13	18	15	230
14	32	24	320
15	36	23	332
16	25	22	342





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## HIT LIST

SEARCH MINUTIA	CORRESPONDING FILE MINUTIA, DISTANCE
S1	(F5,2), (F6,8), (F4,10)
S2	(F3,1), (F1,6), (F2,6)
S3	(F2,2), (F3,6), (F4,11), (F1,12)
S4	(F4,4), (F2,8), (F5,10), (F3,14)
S5	(F10,3), (F9,7)
S6	(F11,6), (F10,6)
S7	(F8,4), (F7,6), (F11,9)
S8	(F13,6), (F12,8)
S9	(F16,6), (F14,7), (F15,10)
S10	(F14,4), (F15,5), (F16,9)
S11	(F15,5), (F14,13)

TABLE 6

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## INITIAL NHIT LIST (S4,F4) PAIRING

DISPLACED SEARCH MINUTIA	CORRESPONDING FILE MINUTIA DISTANCE
S3	(F2,2), (F5,9)
S2	(F2,5), (F3,6)
S8	(F12,7), (F13,9)
S1	(F5,6), (F6,11)

(a)

## INITIAL NHIT LIST (S4,F2) PAIRING

DISPLACED SEARCH MINUTIA	CORRESPONDING FILE MINUTIA DISTANCE
S3	(F23,1), (F1,6)
S2	(F1,0), (F3,5)
S8	(F13,2), (F12,10)
S1	(F6,2), (F5,6)

(c)

## FINAL NHIT LIST, (S4,F4) PAIRING

SEARCH MINUTIA	FILE MINUTIA	$\Delta X$	$\Delta Y$	$\Delta \theta$
S3	F2	1	2	-5
S2	F3	1	4	1
S8	F12	-1	4	-25
S1	F5	1	4	5

$$\begin{aligned}
 o_s^2 &= 4(0.75) + 4(0.75) + 4(20)/22.5 \\
 &= 30 \\
 s_{M44} &= 0
 \end{aligned}$$

(b)

## FINAL NHIT LIST, (S4,F2) PAIRING

SEARCH MINUTIA	FILE MINUTIA	$\Delta X$	$\Delta Y$	$\Delta \theta$
S3	F3	0	0	-2
S2	F1	0	0	-2
S8	F13	0	-1	-5
S1	F6	0	0	7

$$\begin{aligned}
 o_s^2 &= 4(0) + 4(0.19) + 4(20)/22.5 \\
 &= 4 \\
 s_{M42} &= 60
 \end{aligned}$$

(d)

## EXAMPLE SCORING TABLE

$N \backslash o_s^2$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
2	20	10	5	1	0	0	0	0	0	0	0	0	0	0	0
3	40	20	10	5	3	1	0	0	0	0	0	0	0	0	0
4	150	120	100	80	60	40	20	10	5	3	1	0	0	0	0
5	200	150	120	100	80	60	40	20	10	5	3	1	0	0	0
6	200	200	200	150	120	100	80	60	40	20	10	5	3	1	0

(e)

TABLE 7(a) - 7(e)

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DISPLACED SEARCH MINUTIA	CORRESPONDING FILE MINUTIA, DISTANCE	FINAL PAIRING
S1	(F2,5) -	(F2,5)
S2	(F2,2), (F7,5)	(F7,5)
S3	(F8,2) -	(F8,2)
S4	(F8,4), (F9,6)	(F9,6)
S5	(F10,3), (F11,5)	(F10,3)
S6	(F12,3), (F14,5)	F(14,5)
S7	(F14,7) -	-
S8	F(12,1) -	F(12,1)

TABLE 8

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SCORING TABLE

$\frac{2}{1}$ NM	4	5	6	7	8	9	10	11	12
1	50	100	120	150	170	200	220	250	250
2	30	60	100	120	150	180	200	220	250
3	20	40	70	110	140	150	180	210	240
4	10	20	60	100	120	140	160	200	220
5	8	16	40	70	100	110	150	190	200
6	5	10	20	40	80	100	130	170	200
7	3	8	16	32	64	90	110	160	180
8	2	6	12	24	48	80	100	150	170
9	1	5	10	20	40	70	100	140	160
10	0	3	6	12	24	50	90	130	150
11	0	2	5	10	20	40	80	120	150
12	0	1	5	10	20	40	80	110	140
13	0	0	4	8	16	32	64	100	120
14	0	0	3	6	12	24	48	100	120
15	0	0	2	4	8	16	32	70	100
16	0	0	1	3	6	12	24	50	90
17	0	0	0	2	4	8	16	40	80
18	0	0	0	1	3	6	12	30	70
19	0	0	0	0	2	4	8	20	60
20	0	0	0	0	1	3	6	10	40
21	0	0	0	0	0	2	4	8	30
22	0	0	0	0	0	1	3	6	20
23	0	0	0	0	0	0	2	4	10
24	0	0	0	0	0	0	1	3	8
25	0	0	0	0	0	0	0	2	6
26	0	0	0	0	0	0	0	1	4
27	0	0	0	0	0	0	0	0	3
28	0	0	0	0	0	0	0	0	2
29	0	0	0	0	0	0	0	0	1
30	0	0	0	0	0	0	0	0	0

TABLE 9



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SEARCH MINUTIA	CORRESPONDING FILE MINUTIA INDIVIDUAL MINUTIA SCORES	CORRESPONDING FILE MINUTIA AFTER SCORE ORDERING AND TRUNCATION
S1	(F5,3), (F6,100), (F4,0)	(F6,100), (F5,3)
S2	(F3,10), (F1,80), (F2,1)	(F1,80), (F3,10)*
S3	(F2,3), (F3,100), (F4,0), (F1,0)	(F3,100), (F2,3)*
S4	(F4,0), (F2,60), (F5,0) (F3,0)	(F2,60), (F4,0)
S5	(F10,10), (F9,20)	(F9,20), (F10,10)*
S6	(F11,20), (F10,80)	(F10,80), (F11,20)
S7	(F8,3), (F7,60), (F11,0)	(F7,60), (F8,3)
S8	(F13,100), (F12,3)	(F13,100), (F12,3)
S9	(F16,3), (F14,40), (F15,0)	(F14,40) (F16,3)
S10	(F14,5), (F15,60), (F16,0)	(F15,60), (F14,5)*
S11	(F15,3), (F14,10)	(F14,10)*, (F15,3)*

\*Eliminated Minutia

## (a) Intermediate HIT List

SEARCH MINUTIA	SELECTED FILE MINUTIA AND SCORE
S1	(F6,100)
S2	(F1,80)
S3	(F3,100)
S4	(F2,60)
S5	(F9,20)
S6	(F10,80)
S7	(F7,60)
S8	(F13,100)
S9	(F14,40)
S10	(F15,60)
S11	

$$\begin{aligned}
 \text{Match Score} &= 100 + 80 + 100 + 60 + 20 + 80 + 60 \\
 &\quad + 100 + 40 + 60 \\
 &= 700
 \end{aligned}$$

## (b) Final HIT List

TABLE 10

SEARCH MINUTIA	CORRESPONDING FILE MINUTIA AFTER SCORE ORDERING AND TRUNCATION	FINAL PAIRING AND SCORE
S1	(F3,40)*, (F7,35)	(F7,35)
S2	(F3,20)	(F3,20)
S3	(F9,20)*, (F11,10)*	-
S4	(F9,15)	(F9,15)
S5	(F11,50)	(F11,50)
S6	(F15,60), (F18,30)	(F15,60)
S7	(F15,10)*	-
S8	(F15,5)*	-
S9	(F21,30), (F23,15)	(F21,30)
S10	(F21,25)*, (F26,20)	(F26,20)
S11	(F31,30)*, (F32,20)	(F32,20)
S12	(F31,20), (F33,5)	(F31,20)

\* ELIMINATED MINUTIA

TABLE II

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## APPENDIX I ECLIPSE FORTRAN 5, VERSION 4.00

```

1: SUBROUTINE MATCHP
2: OVERLAY OVMAT
3:
4: R40 MATCH PROGRAM (MATCHP): CALLS ASORT DATA GENERAL 11/78
5:
6: PARAMETER ID1=204, ID4=204, ID3=204, ID5=20, ID6=12
7: COMPILER STATIC
8: COMMON/ARRAY/F, COUNT, SCORET, IXMIN, IXMAX, TXMIN, IYMAX
9: COMMON/PLOTAG/PA, IJS, XOF, YOF, IPAAR, PAA, AVX, AVY
10: COMMON/ARGS/LATID, ISCD, NP, P, IFCDA, NF, ISCOR, IFLAG, PCN
11: COMMON/MATGP/IPAR
12: INTEGER P(3*ID4), COUNT(2500), F(3*ID3), PA(ID5*ID4), NBR(ID6*ID4)
13: * SUMX, SUMY, AVX, AVY, SDIV(32), CDIV(32), NPRS(33), SCORET(16,10)
14: * RIV(23), NPOS(5), NMAX(5) HIT(ID4), PA(ID4), S(ID5), SS(ID5), NHIT(ID4)
15: * NP, NF, ISCOR, ISCOA(24), IFCDA(16), IPAR(256), ISCIVR(500)
16: * XOF, YOF, DPX, DPY, PX, PY, PXS, PYS, PCN(9), LATID(7)
17: * DELTH, DELX, DX, ERAA, ERAM, DDX, XOF, YOF, PXLX, PYLY, ISTAB(512)
18: * ASFP, ERAB, ASFN, ASFS
19: EQUIVALENCE (ISTAB(21), ISCTVR(1)), (COUNT(750), HIT(1))
20: * (COUNT(630), NHIT(1)), (S(1), PA(1)), (COUNT(1), SS91))
21: * (IPAR(26), IEOT), (IPAR(28), MXNBL), (IPAR(29), NAT)
22: * (IPAR(30), ERAA), (IPAR(31), ERAM), (IPAR(32), DELX), (IPAR(33), DX)
23: * (IPAR(34), NMAX), (IPAR(35), NYMAX), (IPAR(36), IETP), (IPAR(37), IETPN)
24: * (IPAR(38), DDX), (IPAR(44), IOFLAG), (IPAP(61), ASFP), (IPAR(62), ERAB)
25: * (IPAR(63), ASFN), (IPAR(64), ASFS), (IPAR(135), JSMIN), (IPAR(136), IVARF)
26: * (IPAR(137), IVARMX), (IPAR(138), JSXMX), (IPAR(139), IVARMN)
27:
28: DATA SDIV/-32,-32,-33,-34,-35,-37,-40,-43,-48,-54,-62,-75,-95
29: * -132,-218,-652,652,218,132,95,75,62,54,48,43,40,37,35,34,33,32,32/
30: DATA CDIV/34,38,42,48,56,66,79,97,124,163,225,333,547,1068,2957,26556,
31: * 26556,2957,1068,547,333,225,163,124,97,79,66,56,48,42,38,34/
32:
33: PREPARE LATENT DATA
34:
35: DELTH = 4
36: IF(NAT.GT. 32) NAT = 32
37: NPK = NP+NP+4

```

ORIGINAL



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38: IF(NAT\*NPK .GT. ID5\*ID4) NAT = ID5\*ID4/NPK  
 39: IF (IFLAG.EQ.1) GO TO 65  
 40: OPEN AND READ FILE CONTAINING SCORING TABLE DATA  
 41: C  
 42: C C C





- 30 -

```

43: OPEN 0,"R409TR",ERR=R030,ATT="C",LEN=512,REC=2
44: CALL R0BLK(0,0,ISTAR,2,IER)
45: CLOSE 0
46: GO TO R030
47: A020 TYPE'<CR>*MATCHP* R409TR FILE DOES NOT EXIST'
48: ISCOR = 0
49: RETURN
50: A030 CONTINUE
51: WHIV = MXRHL
52: IF(NRIV,GE, NP) NRIV = NP-1
53: C
54: C SORT INTO ANGLE ORDER
55: C
56: IRA = ERAA + DELTH * NAT/2
57: CALL ASORT(IRA,NP,4PT,P)
58: C
59: C COMPUTE AVERAGE X AND Y
60: C
61: NP3= NP+3
62: NPCO = NP3
63: SUMX = 0
64: SUMY = 0
65: DO 15 I = 1,NP3,3
66: SUMX = SUMX + S(I)
67: SUMY = SUMY + S(I+1)
68: AVX = SUMX/NP
69: AVY = SUMY/NP
70: C
71: C CENTER LATENT AROUND ORIGIN
72: C
73: DO 25 I = 1,NPCO,3
74: SS(I) = S(I) - AVX
75: SS(I+1) = S(I+1) - AVY
76: SS(I+2) = S(I+2)

```

BAD ORIGINAL



- 31 -

77:	C	
78:	C	FIND CLOSEST NEIGHBORS
79:	C	
80:		NRIVS = NRIV+1
81:		NN = NP * 3
82:		IT=0
83:		00 600 I = 1,NN,3
84:		00 380 L = 1,NRIVS
85:		NRVS(L) = 0
86:	390	RIV(L) = 52767
87:		PK = SS(I)
88:		PY = SS(I+1)

02  
03

BAD ORIGINAL



- 32 -

```

091      00 750 J = 1,NN,3
901      IX = IABS(PX-33(J))
911      IY = IABS(PY-33(J+1))
921      IR = IX + IY
931      IF (IR.GT. IPAR(19)) GO TO 750
941      IF (IR.GT.RIV(1)) GO TO 750
951      DO 620 K = 2,NRIVS
961      IF (IR.GT.RIV(K)) GO TO 650
971      RIV(K-1) = RIV(K)
981      620 NBR3(K-1) = NBR3(K)
991      K = NRIVS+1
1001     650 RIV(K-1) = IR
1011     NBR3(K-1) = J/3 +1
1021     750 CONTINUE
1031     IEND = NRIV
1041     760 ITMP = 0
1051     DO 770 L=2,IEND
1061     IF (NBR3( L).GT.NBR3( L-1)) GO TO 770
1071     ITMP = NBR3(L)
1081     NBR3( L) = NBR3( L-1)
1091     NBR3( L-1) = ITMP
1101     770 CONTINUE
1111     IF (ITMP.EQ.0) GO TO 799
1121     IEND = IEND - 1
1131     IF (IEND.GT.1) GO TO 760
1141     799 DO 780 L=1,NRIV
1151     IT = IT + 1
1161     780 NBR(IT) = NBR3(L)
1171     800 CONTINUE
1181     C
1191     C ROTATE LATENT FOR EACH ANGULAR POSITION

```

BAD ORIGINAL



- 33 -

120:	C	
121:		NP2 = NP+NP
122:		NP2CD = NP2
123:		JA = (32-NAT)/2 + 1
124:		JB = (32+NAT)/2
125:		KM = -1
126:		DO 30 L = 1, NPC0, 3
127:		KM = KM + 2
128:		PX = 95(L)
129:		PXS = 32*PX
130:		PY = 95(L+1)
131:		PYS = 32*PY
132:		KK = KM
133:		DO 40 N=JA, JB
134:		PA(KK) = PX - (PXS/COIV(N)) - (PYS/SDIV(N))

7

BAD ORIGINAL



- 34 -

```

135:      PA(KK+1) = (PXS/SDIV(N)) + PY - (PYS/CDIV(N))
136:      40 KK = KK+NP2CD
137:      30 CONTINUE
138:      KX = KK
139:      JF = -SS(3)
140:      IF(JF .LT. 0) JF = 0
141:      LAMIN = JF+(NAT/2)*DELTH - DELTH/2
142:      K = 0
143:      DO 90 I = 1, NP
144:      K = K+1
145:      90 PAA(I) = SS(K) + JF
146:      IFLAG = 1
147:      65 CONTINUE
148:      C
149:      C** PREPARE FILE DATA
150:      C
151:      NF3 = NF*3
152:      C
153:      C COMPUTE QUANTIZATION PARAMETERS
154:      C
155:      NX = MINO((IXMAX-IXMIN)/DELX, NXMAX)
156:      IF(NX.EQ.0) NX=1

```

BAD ORIGINAL



- 35 -

```

157: NY = MINU((IYMAX-IYMIN)/DELX, NYMAX)
158: IF(NY.EQ.0) NY=1
159: ICXF = (IXMAX+IXMIN)/2
160: ICYF = (IYMAX+IYMIN)/2
161: JFXMIN = ICXF - DELX*NX/2
162: JFYMIN = ICYF - DELX*NY/2
163: NX1 = NX+2
164: NY1 = NY+2
165: NXY1 = NX1*NY1
166: LX = NX*DELX/2
167: LY = NY*DELX/2
168: NP8 = 8*NP
169: NB4 = NRIV*4
170: C
171: C START OF BASIC MATCH ALGORITHM
172: C
173: C FIRST, FIND THE BEST X,Y OFFSETS AND ANGLE ORIENTATIONS FOR
174: C CENTERING THE LATENT PRINT OVER THE FILE PRINT
175: C
176: C COMPUTE SEARCH POSITION LIMITS
177: C
178: DO 3 I = 1, NXY1
179: COUNT(I) = 0
180: IANS = 2

```

RAD ORIGINAL



- 36 -

```

101: MMAX = 0
102: II = -1-NP2CD
103: IANGM = -DELTH
104: C
105: C COUNT HITS FOR EACH ANGULAR POSITION
106: C
107: DO 500 NANGLE = 1,NAT
108: IANGM = IANGM + DELTH
109: NJ = 1
110: II = II + NP2CD
111: IABIAS = -256-LAMIN+IANGM
112: DO 300 IBA = 1,2
113: IABIAS = IABIAS + 256
114: IJ = II
115: DO 300 I = 1,NP
116: IJ = IJ + 2
117: PX = PA(IJ) + ICXF
118: PY = PA(IJ+1) + ICYF
119: PXLX = PX - LX
120: PYLY = PY - LY
121: IANG = PAA(I) + IABIAS
122: N = NJ
123: DO 275 J = N,NF3,3
124: IF (IABS(F(J+2) - IANG).GT.ERAA) GO TO 260
125: IF (IABS(F(J)-PX) .GE. LX) GO TO 275
126: IF (IABS(F(J+1)-PY) .GE. LY) GO TO 275
127: KST = ((F(J)-PXLX)/DELX)*NY1 + (F(J+1)-PYLY)/DELY + 1
128: COUNT(KST) = COUNT(KST) + 1

```

BAD ORIGINAL



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```

2091 COUNT(KST+NY1) = COUNT(KST+NY1) + 1
2101 KST = KST + 1
2111 COUNT(KST) = COUNT(KST) + 1
2121 COUNT(KST+NY1) = COUNT(KST+NY1) + 1
2131 GO TO 275
2141 260 IF (F(J+2).GT.IANG)GO TO 300
2151 NJ = J
2161 275 CONTINUE
2171 300 CONTINUE
2181 C
2191 C
2201 C
2211 DO 400 I = 1,NXT1
2221 NSS = COUNT(I)
2231 COUNT(I) = 0
2241 IF (NSS.LT.IANG) GO TO 400
2251 IF (NSS.EQ.IANG) GO TO 399
2261 MMAX=1

```

FIND COORDINATES OF MAXIMUM HJT COUNT

RAD ORIGINAL





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```

227:      IANS = NSS
228:      NPOS(MMAX) = I
229:      MMAX(MMAX) = NANGLE
230:      GO TO 400
231:      399      MMAX = MMAX + 1
232:      IF (MMAX.GT.5) MMAX = 5
233:      NPOS(MMAX) = I
234:      MMAX(MMAX) = NANGLE
235:      400      CONTINUE
236:      500      CONTINUE
237:      C
238:      C SECOND, MOVE THE LATENT PRINT AND FIND WHICH OF THE LATENT
239:      C MINUTIAE MATCH AND RECORD THIS IN THE HIT ARRAY.
240:      C
241:      ISCOR9 = 0
242:      IF(IANS.LT.1E01) GO TO 992
243:      00 990 JZ = 1,MMAX
244:      C
245:      C FIND THE POSITION OFFSETS
246:      C
247:      IN = NPOS(JZ)/NY1
248:      JN = NPOS(JZ) - NY1*IN - 1

```

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```

249: IF (JN.GI.0) GO TO 601
250: JN = NY
251: IN = IN - 1
252: CONTINUE
253: NMAZ = NMAX(JZ)
254: IJ = NP2CD*(NMAZ-1)
255: XOF = IN * DELX + JFXMIN
256: YOF = JN * DELX + JFYMIN
257: UU 122 I = 1,NP8
258: HIT(I) = 9999
259: NJ = 1
260: NANG = (NMAZ-1)*DELTH
261: IABIAS = -256 - LAMIN + NANG
262: OO 290 IBA = 1,2
263: IABIAS = IABIAS + 256
264: OO 290 I = 1,NP
265: IH = 8*I-7
266: 270 K = IJ + I + I -1
267: C
268: C
269: C
270: PX = PA(K) + XOF
271: PY = PA(K+1) + YOF
272: IANG = PAA(IJ) + IABIAS

```

TRANSLATE LATENT TO NEW POSITION

BAD ORIGINAL



- 40 -

```

2731      N = NJ
2741      IF(N.GE. NF3) GO TO 292
2751      C
2761      C IDENTIFY HITS
2771      C
2781      DO 280 J = N,NF3,3
2791      IEA = IABS(F(J+2) - IANG)
2801      IF(IEA .GT. ERAM) GO TO 279
2811      IEX = IABS(F(J) - PX)
2821      IF(IEX .GT. DX) GO TO 280
2831      IEY = IABS(F(J+1) - PY)
2841      IF(IEY .GT. DX) GO TO 280
2851      IET = IEA/ASFP + IEX + IEY
2861      IF(IET .GT. IETP) GO TO 280
2871      JH = 6
2881      IF(HIT(IM+JH+1) .LE. IET) GO TO 2786
2891      HIT(IM+JH+1) = IET
2901      HIT(IM+JH) = J/3 + 1
2911      2783 JH = JH-2
2921      IF(JH .LT. 0) GO TO 2786
2931      IF(HIT(IM+JH+3) .GE. HIT(IM+JH+1)) GO TO 2786
2941      IJ = HIT(IM+JH)

```

BAD ORIGINAL



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```

295:      I12 = HIT(IH+JH+1)
296:      HIT(IH+JH) = HIT(IH+JH+2)
297:      HIT(IH+JH+1) = HIT(IH+JH+3)
298:      HIT(IH+JH+2) = I11
299:      HIT(IH+JH+3) = I12
300:      GO TO 2783
301:      2786 CONTINUE
302:      GO TO 280
303:      279 IF (F(J+2).GT.IANG) GO TO 290
304:      NJ = J
305:      280 CONTINUE
306:      290 CONTINUE
307:      292 CONTINUE
308:      C
309:      C THIRD, FOR EACH LATENT MINUTIAE WHICH IS A HIT, MOVE THE LATENT
310:      C PRINT SO THAT THE MATCHING MINUTIAE COINCIDE AND THEN TEST TO
311:      C SEE HOW MANY OF THE CLOSEST LATENT NEIGHBORS ARE ALSO A HIT.
312:      C
313:      NBRI = -NRIV
314:      DO 898 LM = 1,NP
315:      NBRI = NBRI + NRIV
316:      DO 895 L41 = 1,4
317:      IS = 8*(L41-1) + 2*(LM1-1) + 1
318:      IF(HIT(IS),ED, 9999) GO TO 898

```

BAD ORIGINAL



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```

319:      JF = HIT(IS)
320:      JJ = (HIT(IS)-1)*3+1
321:      KK = IJ+LM+LM-1
322:      C
323:      C      COMPUTE OFFSETS TO OVERLAY LATENT AND FILE MINUTIAE
324:      C
325:      UPX = F(JJ) - PA(KK)
326:      OPY = F(JJ+1) - PA(KK+1)
327:      NJ = 1
328:      DO A10 LL = 1,NR4
329:      810 WHIT(LL) = 0
330:      IARIAS = -256 - LAMIN + NANG
331:      DO A50 KZ = 1,2
332:      IARIAS = IARIAS + 256
333:      C
334:      C      FIND HITS FOR CLOSEST NEIGHBORS
335:      C
336:      DO A50 K = 1,NRIV
337:      KI = NBR(NBRI+K)
338:      IF(KI.EQ. 0) GO TO A50
339:      IK = KI+KI-1+IJ
340:      PX = PA(IK) + DPX

```

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```

341: PY = PA(K+1) + DPY
342: IANG = PAA(KI) + IABIAS
343: DO 845 JK=1,8,2
344: JSP = 8*(KI-1) + JK
345: J = IAND(HIT(JSP),377K)
346: IF(J.EQ. 9999) GO TO 850
347: IF(J.EQ. JFM) GO TO 845
348: J = (J-1)*3 + 1
349: IEA = IABS(F(J+2) - IANG)
350: IF(IEA.GT. ERAB) GO TO 845
351: IEX = IABS(F(J) - PX)
352: IF(IEX.GT. DDX) GO TO 845
353: IEY = IABS(F(J+1) - PY)
354: IF(IEY.GT. DDX) GO TO 845
355: IET = IEA+IEY+IEA/ASFN
356: IF(IET.GT. IEIPN) GO TO 845
357: IH = 4*K - 3
358: IF(NHIT(IH).EQ. 0) GO TO 835
359: IF(NHIT(IH+2).NE. 0) GO TO 825
360: IF(NHIT(IH+1).LE. IET) GO TO 830
361: 820 NHIT(IH+2) = NHIT(IH)
362: NHIT(IH+3) = NHIT(IH+1)
363: GO TO 835
364: 825 IF(IET.GE. NHIT(IH+3)) GO TO 845

```

BAD ORIGINAL



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```

365: IF(IET,LT, NHIT(IH+1)) GO TO 820
366: 830 IH = IH+2
367: 835 NHIT(IH) = J
368: NHIT(IH+1) = IET
369: 845 CONTINUE
370: 850 CONTINUE
371: 852 CONTINUE
372: C
373: C
374: C
375: 00 870 I = 1,NB4,4
376: IF(NHIT(I) .EQ. 0) GO TO 870
377: 855 IFM = NHIT(I)
378: J = I
379: 858 J = J+4
380: IF(J,GT, NB4) GO TO 868
381: IF(NHIT(J) .EQ. 0) GO TO 858
382: IF(NHIT(J) .EQ. IFM) GO TO 860
383: IF(NHIT(J+2) .NE. IFM) GO TO 858
384: NHIT(J+2) = 0
385: NHIT(J+3) = 0
386: GO TO 858
387: 860 IF(NHIT(I+2) .NE. 0) GO TO 865
388: IF(NHIT(J+2) .NE. 0) GO TO 864
389: IF(NHIT(I+1) .LT. NHIT(J+1)) GO TO 862
390: NHIT(I) = 0
391: NHIT(I+1) = 0
392: GO TO 870

```

I I = J1  
 I I = J1, I2 = 0  
 I I = J1, I2 = 0, J2 = 0  
 I I = J1 I2 = 0 J2 = 0, J1 .LE. 311

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```

393:      862 NHIT(J) = 0
394:      NHIT(J+1) = 0
395:      GO TO 858
396:      864 NHIT(J) = NHIT(J+2)
397:      NHIT(J+1) = NHIT(J+3)
398:      NHIT(J+2) = 0
399:      NHIT(J+3) = 0
400:      GO TO 858
401:      865 IF (NHIT(J+2) .EQ. 0) GO TO 866
402:      IF (NHIT(I+1) .LE. NHIT(J+1)) GO TO 864
403:      866 NHIT(I) = NHIT(I+2)
404:      NHIT(I+1) = NHIT(I+3)
405:      NHIT(I+2) = 0
406:      NHIT(I+3) = 0
407:      GO TO 855
408:      868 NHIT(I+2) = 0
409:      NHIT(I+3) = 0
410:      870 CONTINUE

```

I II SELECTED

J2 .NE. 0, J11 .67.

BAD ORIGINAL





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```

111: C
112: C FIND SCORE FOR NEIGHBORS BASED ON VARIANCE OF FIT
113: C
114: MXI = 0
115: MYI = 0
116: MII = 0
117: MXIS = 0
118: MYIS = 0
119: MTIS = 0
120: IAGIAS = -LAMIN+NANG
121: NMM = 0
122: K = 0
123: DO 8A0 I = 1,NB4,4
124: K = K+1
125: IF(NHIT(I) .EQ. 0) GO TO 8A0
126: NMM = NMM + 1
127: J = NHIT(I)
128: KI = NBR(NBRI+K)
129: IF(KI .EQ. 0) GO TO 8A0
130: IK = KI + KI - 1 + IJ
131: ID = PA(IK) + DPX - F(J)
132: MXI = MXI + ID

```

BAD ORIGINAL



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```

433:      MXIS = MXIS + ID * ID
434:      ID = PA(IX+1) + OPY - F(J+1)
435:      MYI = MYI + ID
436:      MYIS = MYIS + ID * ID
437:      ID = PAA(KI) + IAHIS - F(J+2)
438:      IF(IAOS(ID) .GT. 127) ID = ID + 256
439:      MTI = MTI + ID
440:      MTIS = MTIS + ID * ID
441:      880 CONTINUE
442:      JSX = NMM - JSMIN + 1
443:      IF(JSX .GT. JSXMX) JSX = JSXMX
444:      IF(JSX .GE. 1) GO TO 885
445:      ITSX = 99
446:      ISCORV = 0
447:      ITSXS = 0
448:      GO TO 890
449:      885 IVX = ((MXIS - (MXI+MXI)/NMM)*IVARF)/NMM
450:      IVY = ((MYIS - (MYI+MYI)/NMM)*IVARF)/NMM
451:      IVT = ((MTIS - (MTI+MTI)/NMM)*IVARF)/(NMM*ASF8+ASF8)
452:      ITSX = (IVX+IVY+IVT)/3
453:      ITSX = ITSX - IVARMX
454:      IF(ITSX .GT. IVARMX) ITSX = IVARMX
455:      IF(ITSX .LT. 1) ITSX = 1
456:      IXJV = (JSX-1)*IVARMX+ITSX

```

BAD ORIGINAL



```

571      ISCORV = ISCTVR(IXJV)
581      890 HIT(I3+1) = ISCORV
1591      895 CONTINUE
1601      896 CONTINUE
1611      C
1621      C
1631      C
1641      DO 920 I = 1, NP8, 8
1651      MX = -5
1661      MX2 = -10
1671      DO 910 J = 1, 8, 2
1681      IF (HIT(I+J)) .EQ. 9999) GO TO 915
1691      IF (HIT(I+J)) .LE. MX) GO TO 905
1701      MX2 = MX
1711      MX2N = MXN
1721      MX = HIT(J+1)
1731      MXN = HIT(J+1-1)
1741      GO TO 910
1751      905 IF (HIT(J+1)) .LE. MX2) GO TO 910
1761      MX2 = HIT(J+1)
1771      MX2N = HIT(J+1-1)
1781      910 CONTINUE
1791      915 IF (MX .LT. 0) GO TO 920
1801      HIT(I) = MXN
1811      HIT(I+1) = MX
1821      IF (MX2 .LT. 0) GO TO 920
1831      HIT(I+2) = MX2N
1841      HIT(I+3) = MX2

```

BAD ORIGINAL



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```

4851 920 CONTINUE
4861 C
4871 C SELECT LARGEST SCORE FROM HIT LIST MULTIPLE ENTRIES
4881 C
4891 DO 960 I = 1, NP8, 8
4901 IF (HIT(I) .EQ. 9999) GO TO 960
4911 925 IFM = I AND (HIT(I), 377K)
4921 J = I
4931 930 J = J + 8
4941 IF (J .GT. NP8) GO TO 960
4951 IF (HIT(J) .EQ. 9999) GO TO 930
4961 IF (I AND (HIT(J), 377K) .EQ. IFM) GO TO 935
4971 IF (I AND (HIT(J+2), 377K) .NE. IFM) GO TO 930
4981 HIT(J+2) = 9999
4991 HIT(J+3) = 9999
5001 GO TO 930
5011 935 IF (HIT(I+2) .NE. 9999) GO TO 948
5021 IF (HIT(J+2) .NE. 9999) GO TO 945

```

BAD ORIGINAL



```

503: IF(HIT(I+1).GT. HIT(J+1)) GO TO 940
504: HIT(I) = 9999
505: HIT(I+1) = 9999
506: GO TO 960
507: HIT(J) = 9999
508: GO TO 930
509: IF(HIT(J+1).GE. HIT(I+1)) + HIT(J+3) GO TO 937
510: HIT(J) = HIT(J+2)
511: HIT(J+1) = HIT(J+3)
512: HIT(J+2) = 9999
513: HIT(J+3) = 9999
514: GO TO 930
515: IF(HIT(J+2).EQ. 9999) GO TO 950
516: IF(HIT(I+1).GT. HIT(J+1)+HIT(I+3)) GO TO 946
517: GO TO 952
518: IF(HIT(I+1).GT. HIT(J+1) + HIT(I+3)) GO TO 940
519: HIT(I) = HIT(I+2)
520: HIT(I+1) = HIT(I+3)
521: HIT(I+2) = 9999
522: HIT(I+3) = 9999
523: GO TO 925
524: GO TO 925
525: 960 CONTINUE
526: C

```

50

```

; I1=J1,I2=9999,J2=9999
; I1=J1,J2=9999,/I2=9999,S11.GT.SJ1 .I.
; I1=J1,I2=9999,J2.NE.9999
; I1=J1,J2.NE.9999,/I2=9999,SJ1.LT.SI1.
; I2.NE.9999, SI1.GT.SJ1+S12
; I1=J1,I2.NE.9999
; I1=J1,I2.NE.9999,J2.NE.9999
; I1=J1,I2.NE.9999,J2.NE.9999,SJ1.LT.I.
; I1=J1,I3.NE.9999,J2=9999
; I1=J1,I2.NE.9999,/J2=9999,S11.LI.SJ.
; J2.NE.9999, SI1.LT.S12+SJ1

```

BAD ORIGINAL



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ATTRIBUTES		POSITION	SIZE
-- ARRAY --			
F	INTEGER ARRAY	0	612.
COUNT	INTEGER ARRAY	1144	2500.
SS	INTEGER ARRAY	1144	20.
NHIT	INTEGER ARRAY	2331	204.
HIT	INTEGER ARRAY	2521	204.
SCORET	INTEGER ARRAY	6050	160.
IXMIN	INTEGER	6310	
IXMAX	INTEGER	6311	
IYMIN	INTEGER	6312	
IYMAX	INTEGER	6313	
-- PLOTAG --			
PA	INTEGER ARRAY	0	4080.
S	INTEGER ARRAY	0	20.
IJS	INTEGER	7760	
XOFS	INTEGER	7761	
YOFS	INTEGER	7762	
IPAAB	INTEGER	7763	
PAA	INTEGER ARRAY	7764	204.
AVX	INTEGER	10300	
AVY	INTEGER	10301	
-- ARGS --			
LATID	INTEGER ARRAY	0	7.
ISCOA	INTEGER ARRAY	7	24.
NP	INTEGER	37	
P	INTEGER ARRAY	40	612.
IFCOA	INTEGER ARRAY	1204	16.
NF	INTEGER	1224	
ISCOR	INTEGER	1225	
IFLAG	INTEGER	1226	
PCN	INTEGER ARRAY	1227	9.
-- MATGP --			

2

BAD ORIGINAL



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IPAR	INTEGER ARRAY	0	256.
IVARMN	INTEGER	212	
JSXMX	INTEGER	211	
IVARMX	INTEGER	210	
IVARF	INTEGER	207	
JSMIN	INTEGER	206	
ASFS	INTEGER	77	
ASFN	INTEGER	76	
ERAB	INTEGER	75	
ASFP	INTEGER	74	
IOFLAG	INTEGER	53	
DDX	INTEGER	45	
IETPN	INTEGER	44	
IETP	INTEGER	43	
NYMAX	INTEGER	42	
NXMAX	INTEGER	41	
DX	INTEGER	40	
DELX	INTEGER	37	
ERAM	INTEGER	36	
ERAA	INTEGER	35	
NAT	INTEGER	34	
MXNBL	INTEGER	33	
IETOT	INTEGER	31	

## --- STATIC VARIABLES ---

NBR	INTEGER ARRAY	0	2448.
SUMX	INTEGER	4620	
SUMY	INTEGER	4621	
SDIV	INTEGER ARRAY	4622	32.
CDIV	INTEGER ARRAY	4662	32.
NBR5	INTEGER ARRAY	4722	33.
RIV	INTEGER ARRAY	4763	23.
NPOS	INTEGER ARRAY	5012	5.
NMAX	INTEGER ARRAY	5017	5.
ISCTVR	INTEGER ARRAY	5050	500.
ISTAB	INTEGER ARRAY	5024	512.
XOF	INTEGER	6034	
YOF	INTEGER	6035	
DPX	INTEGER	6036	
DPY	INTEGER	6037	
PX	INTEGER	6040	
PY	INTEGER	6041	
PXS	INTEGER	6042	
PYS	INTEGER	6043	

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DELTH	INTEGER	6044
PXLX	INTEGER	6045
PYLY	INTEGER	6046
I	INTEGER	6047
NP3	INTEGER	6050
NPCD	INTEGER	6051
NN	INTEGER	6052
L	INTEGER	6053
NRIVS	INTEGER	6054
J	INTEGER	6055
K	INTEGER	6056
IEND	INTEGER	6057
NRIV	INTEGER	6060
IT	INTEGER	6061
N	INTEGER	6062
JA	INTEGER	6063
JB	INTEGER	6064
KK	INTEGER	6065
NXT1	INTEGER	6066
NANGLE	INTEGER	6067
IBA	INTEGER	6070
NF3	INTEGER	6071
KST	INTEGER	6072
NY1	INTEGER	6073
MMAX	INTEGER	6074
JZ	INTEGER	6075
NP8	INTEGER	6076
IH	INTEGER	6077
JH	INTEGER	6100
LM	INTEGER	6101
LM1	INTEGER	6102
LL	INTEGER	6103
NB4	INTEGER	6104
KZ	INTEGER	6105
JK	INTEGER	6106
IS	INTEGER	6107
NPK	INTEGER	6110
IER	INTEGER	6111
IRA	INTEGER	6112
MPT	INTEGER	6113
IDX	INTEGER	6114
IDY	INTEGER	6115
IR	INTEGER	6116
ITMP	INTEGER	6117
NP2	INTEGER	6120
NP2CD	INTEGER	6121

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KM	INTEGER	6122
KKX	INTEGER	6123
JF	INTEGER	6124
LAMIN	INTEGER	6125
NX	INTEGER	6126
NY	INTEGER	6127
ICXF	INTEGER	6130
ICYF	INTEGER	6131
JFXMIN	INTEGER	6132
JFYMIN	INTEGER	6133
NX1	INTEGER	6134
LX	INTEGER	6135
LY	INTEGER	6136
IAN5	INTEGER	6137
II	INTEGER	6140
IANGM	INTEGER	6141
NJ	INTEGER	6142
IABIAS	INTEGER	6143
IJ	INTEGER	6144
IANG	INTEGER	6145
NSS	INTEGER	6146
ISCORS	INTEGER	6147
IN	INTEGER	6150
JN	INTEGER	6151
NMAZ	INTEGER	6152
NANG	INTEGER	6153
IEA	INTEGER	6154
IEX	INTEGER	6155
IEY	INTEGER	6156
IET	INTEGER	6157
IT1	INTEGER	6160
IT2	INTEGER	6161
NBRI	INTEGER	6162
JFM	INTEGER	6163
JJ	INTEGER	6164
KI	INTEGER	6165
IK	INTEGER	6166
JSP	INTEGER	6167
IFM	INTEGER	6170
MXI	INTEGER	6171
MYI	INTEGER	6172
MT1	INTEGER	6173
MXIS	INTEGER	6174
MYIS	INTEGER	6175
MTIS	INTEGER	6176
NMM	INTEGER	6177

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ID	INTEGER	6200
JSX	INTEGER	6201
ITSX	INTEGER	6202
ISCORV	INTEGER	6203
ITSXS	INTEGER	6204
IVX	INTEGER	6205
IVY	INTEGER	6206
IVT	INTEGER	6207
IXJV	INTEGER	6210
MX	INTEGER	6211
MX2	INTEGER	6212
MX2N	INTEGER	6213
MXN	INTEGER	6214
IPSINC	INTEGER	6215

## -- EXTERNAL SUBPROGRAMS --

.ONCE	.OPSH	.DATI	.IERR	.ILEN
.IREC	.IATT	.FOPE	PD9LK	.FCLO
.ITYP	.FWRS	.TIYP	ASORT	MINO

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IDENTIFIER	REFERENCES
ASFN	18 355
ASFP	18 285
ASFS	18 451
ASORT	57
AVX	18 9 74
AVY	18 9 75
CDIV	18 31 135
COUNT	18 8 26 179
DDX	18 26 352
DELTH	18 35 56
DELX	18 26 155
DPX	18 325 340
DPY	18 326 341
DX	18 26 282
ERAA	18 26 56
ERAB	18 26 350
ERAM	18 26 280
F	18 8 204
HIT	18 351 353
	26 258
	319 320
	483 484
	505 507
	522 523

208 209 211 212 222 223 255 256 281 279 214 207 283 303 325 326 349 318 481 504 521 206 434 289 458 491 510 532 205 431 288 345 490 508 531 204 353 258 320 484 507 523

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I	65	66	67	73	74	75	76	83	87	88	117	143	145	174
	...	179	145	201	217	221	222	223	224	233	235	257	258	264
	...	265	266	272	306	375	376	377	378	387	389	390	391	402
	...	403	404	405	406	408	409	410	423	425	427	441	464	464
	...	469	472	473	475	476	477	480	481	483	484	485	489	490
	...	491	492	501	503	504	505	510	517	519	520	521	522	524
	...	525	530	531	532	536	530	332	342	420	437	...	...	...
IABIAS	191	193	201	272	279	303	330	342	349	...	...	...	...	...
IANG	201	204	214	272	279	303	342	349	...	...	...	...	...	...
IANGM	183	148	191	...	...	...	...	...	...	...	...	...	...	...
IANS	180	224	225	227	242	...	...	...	...	...	...	...	...	...
I8A	192	217	262	306	...	...	...	...	...	...	...	...	...	...
ICXF	159	161	147	...	...	...	...	...	...	...	...	...	...	...
ICYF	160	162	198	...	...	...	...	...	...	...	...	...	...	...
IO	431	432	433	434	435	436	437	438	439	440	...	...	...	...
IO1	6	...	...	...	...	...	...	...	...	...	...	...	...	...
IO3	6	...	...	...	...	...	...	...	...	...	...	...	...	...
IO4	6	...	...	...	...	...	...	...	...	...	...	...	...	...
IO5	6	...	...	...	...	...	...	...	...	...	...	...	...	...
IO6	6	...	...	...	...	...	...	...	...	...	...	...	...	...

IDX	90	92
IDV	91	92
IEA	279	280 285 349 350 355
IEND	103	105 110 112 113
IEOT	26	242
IER	44	
IET	285	286 288 289 355 356 360 364 365 368
IETP	26	286
IETPN	24	356
IEX	241	242 285 351 352 355
IEY	283	284 285 353 354 355
IFCDA	1A	10
IFLAG	10	39 146
IFM	377	382 383 491 496 497
IA	265	288 289 290 293 294 295 296 297 298 299 357 358 359
II	182	190 194
IJ	194	196 197 198 254 266 321 339 430
IJS	9	
JK	339	340 341 430 431 434
IN	247	248 251 255
IOFLAG	26	
IPAA8	9	
IPAR	1A	11 26 93
IPSINC	533	534
JR	92	93 94 96 100
IAA	54	57
IS	317	318 319 320 458

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ISCOA	1A	10							
ISCOR	1A	10	4A	529	533	535	537	538	541
ISCORS	241	537	538	541					
ISCORV	446	457	45A	532	534	535			
ISCTVR	1A	26	457						
ISTAB	1A	26	44						
IT	A2	115	116						
IT1	294	29A							
IT2	295	299							
ITMP	104	107	109	111					
ITSX	445	452	453	454	455	456			
IT3XS	447								
IVARF	26	449	450	451					
IV4QMN	26	453							
IVARMX	26	454	456						
IVI	451	452							
IVX	449	452							
IVY	450	452							

JV	456	457	159	101	102	203	204	205	206	207	214	215	216	278
MAX	8	155	159	283	290	303	304	305	345	346	347	348	349	351
MIN	8	155	159	378	379	380	381	382	383	384	385	388	389	393
MAX	8	157	160	397	398	399	401	402	427	431	434	437	467	468
MIN	8	157	160	473	475	476	477	478	492	493	494	495	496	497
	89	90	91	502	503	507	508	510	511	512	513	514	516	517
		279	281											
		353	367											
		394	396											
		469	472											
		498	499											
		519												
A	123	133												
B	124	133	136											
F	139	140	141	145										
FM	319	347												
FXMIN	161	255												
FYMIN	162	256												
H	287	288	289	290	291	292	293	294	495	296	297	298	299	
J	320	325	326											
K	343	344	369											
N	248	249	250	256										
S MIN	26	442												
SP	344	345												
SX	442	443	444	456										
SXMX	26	443												
Z	243	247	248	253	539	100	101	142	144	145	266	270	271	336
	95	96	97	98	99									

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[illegible]

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122	257	258			
15	65	67			
25	73	75			
260	204	214			
275	203	205	206	213	216
2783	291	300			
2786	288	292	293	301	
279	280	303			
280	278	242	284	286	302
290	262	264	303	306	305
292	274	307			
3	178	179			
30	126	137			
300	192	195	214	217	
380	84	85			
399	225	231			
40	133	136			
400	221	224	230	235	
500	187	236			

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601	249	252	- 66 -					
620	95	98						
65	39	147						
650	96	100						
750	89	93	94	102				
760	104	113						
770	105	106	110					
780	114	116						
799	111	114						
800	83	117						
8030	43	46	50					
810	328	329						
820	361	365						
825	359	364						
830	356	366						
835	358	363	367					
845	343	347	350	352	354	356	364	369
850	331	336	338	346	370			
855	377	407						
858	379	381	383	386	395	400		
860	382	387						
862	389	393						
864	388	396	402					
865	387	401						
866	401	403						
868	380	408						
870	375	376	392	410				
880	423	425	429	441				
885	444	449						
890	448	458						
895	316	459						
898	314	318	460					
90	143	145						
905	469	475						
910	467	474	475	478				
915	468	479						
920	464	479	482	485				
925	491	524						
930	493	495	497	500	509	515		
935	496	501						
937	504	510						
940	503	507	519					
945	502	510						
946	511	517						
948	501	516						
950	516	519						

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52	518	520						
60	489	490	494	506	525			
70	530	531	536					
90	243	537	539					
92	242	540						
RG5	10							
RRAY	8							
ATGP	11							
VMAT	0	360	377	535	719	1669	1695	1228
LOTAG	9							

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APPENDIX II  
PROGRAM VARIABLES - DESCRIPTION

<u>NAME</u>	
ASFP	$\theta$ Scale Factor for Minutia Pairing Distance
COUNT(2500)	Registration X, Y Histogram
DELTH	$\Delta$ angle between rotations in Units of 1.40625 degrees each
DDX	Minutia X, Y tolerance values for neighborhood scoring
DELX	Minutia X, Y cell size for print registration
DX	Tolerance values for minutia pairing
DPX	X offset to exactly overlay a registered search minutia with its mating file minutia
DPY	Y offset to exactly overlay a registered search minutia with its mating file minutia
ERAA	Minutia Angle tolerance for print registration
ERAB	Minutia Angle tolerance for neighboring pairing
ERAM	Minutia angle tolerance for minutia pairing
F(612)	Sorted file minutia X, Y, $\theta$ values
HIT(1632)	Array of mating file and search minutia
IFLAG	Switch, if = 1, then search data is ready
IXMAX	Maximum X value of file minutia set
IXMIN	Minimum X value of file minutia set
IYMAX	Maximum Y value of file minutia set
IYMIN	Minimum Y value of file minutia set
ICXF	X Coordinate of minutia pattern center for file minutia set
ICYF	Y Coordinate of minutia pattern center for file minutia set
IAN	Maximum value of registration histogram
ISCORS	Match score for a given registration histogram maximum
ISCOR	Final match score (maximum of ISCORS values)

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## APPENDIX II (CONTINUED)

<u>NAME</u>	<u>PROGRAM VARIABLES - DESCRIPTION</u>
ASFP	$\theta$ Scale Factor for Minutia Pairing Distance
COUNT(2500)	Registration X, Y Histogram
DELTH	$\Delta$ angle between rotations in Units of 1.40625 degrees each
DDX	Minutia X, Y tolerance values for neighborhood scoring
DX	Minutia X, Y cell size for print registration, tolerance values for minutia pairing
DPX	X offset to exactly overlay a registered search minutia with its mating file minutia
DPY	Y offset to exactly overlay a registered search minutia with its mating file minutia
ERAA	Minutia Angle tolerance for print registration
ERAB	Minutia Angle Tolerance for Neighboring Pairing
ERAM	Minutia angle tolerance for minutia pairing
F(612)	Sorted file minutia X, Y $\theta$ values
HIT(1632)	Array of mating file and search minutia
IFLAG	Switch, if = 1, their search data is ready
IXMAX	Maximum X values file minutia set
IXMIN	Minimum X values file minutia set
IYMAX	Maximum Y values file minutia set
IYMIN	Minimum Y values file minutia set
ICXF	X Coordinate of minutia pattern center for file minutia set
ICYF	Y Coordinate of minutia pattern center for file minutia set
IAN	Maximum value of registration histogram
ISCORS	Match score for a given registration histogram maximum
ISCOR	Find match score (maximum of ISCORS values)



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## APPENDIX II (CONTINUED)

<u>NAME</u>	<u>PROGRAM VARIABLES - DESCRIPTION</u>
ISTAB	Array Containing Score Table
IJ	Pointer to PA array for best set of rotated search minutia
*IEOT	Early out threshold
IETP	Distance Tolerance for minutia pairing
ITSX	Scaled Variance of Matching Neighboring Minutia
ISCTVR(500)	Score Table
ISCORV	Individual Minutia Score
JXMIN	X Coordinate of Lower Left Corner of File Minutia Pattern
JYMIN	Y Coordinate of Lower Left Corner of File Minutia Pattern
JSCOR	Number of matching neighbors for a particular search minutia
KF(700)	Array of file minutia that are mated with search minutia
LX	1/2 width of effective file minutia pattern area
LY	1/2 height of effective file minutia pattern area
LAMIN	Maximum angle bias for search minutia angles
NHIT(48)	Array of file minutia mating with neighbors of a given search minutia
MMA	Number of maximums in registration histograms
NB4	Number of neighbors to use times 4
NF	Number of file minutia
NMAX(5)	Array of best rotation for registration
NAT	Number of search print rotations to use
NF3	3 Times the number of file minutia
NRIV	Number of neighbors to use for neighborhood scoring
NP	Number of search minutia

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## APPENDIX II (CONTINUED)

<u>NAME</u>	<u>PROGRAM VARIABLES - DESCRIPTION</u>
NBR(2040)	Neighbor array
NX	Count array size in X (Number of cells to use in count array in X direction)
NMM	Number of matching neighbors
NY	Count array size in Y (number of cells to use in count array in Y direction)
NP8	Number of search minutia times 8
NXMAX	Maximum number of count array cells in X direction
NYMIN	Maximum number of count array cells in Y direction
P(612)	Unsorted search minutia
PA(2040)	Rotated search minutia X, Y values
PAA(204)	Search minutia angle values
R40STB	Disk file containing score table
S(612)	Sorted Search Minutia
SS(612)	Sorted, Centered, Search Minutia
XOF	X offset to overlay search minutia on file minutia for registration histogram maximum
YOF	Y offset to overlay search minutia on file minutia for registration histogram maximum

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I CLAIM

1. A method employing a programmed computer for comparing the minutiae of a search fingerprint (the "search minutiae") with the minutiae of a file fingerprint (the "file minutiae") to determine if the search fingerprint closely resembles the file fingerprint comprising:
  - (a) rotating and translating the search minutiae to determine the rotation and translation which most nearly brings the search minutiae into registration with the file minutiae;
  - (b) pairing mating search and file minutiae;
  - (c) computing an individual minutia score for each search minutia that has a mating file minutia based on the spatial and angular relationship between the other mating file and search minutiae located within a neighborhood of each such search minutia; and
  - (d) summing the individual minutia scores to obtain a final match score indicative of the overall resemblance of the search fingerprint to the file fingerprint.
2. The method described in Claim 1 and further comprising:
  - (a) sorting the search minutiae into angle order;
  - (b) finding the closest neighbors for each search minutia;
  - (c) sorting the file minutiae into angle order; and
  - (d) computing maximum and minimum coordinates for the file minutia.
3. The method described in Claim 1 or 2 and further comprising terminating the comparison between the minutiae of a search fingerprint and the minutiae of a file fingerprint whenever the degree of registration of the search minutiae with the file minutiae fails to exceed an operator selected threshold.



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4. A method employing a programmed computer for comparing the minutiae of a search fingerprint (the "search minutiae") with the minutiae of a file fingerprint (the "file minutiae") to determine if the search fingerprint closely resembles the file fingerprint comprising the following steps in the order named:
  - (a) rotating and translating the search minutiae to determine the rotation and translation which most nearly brings the search minutiae into registration with the file minutiae;
  - (b) pairing mating search and file minutiae;
  - (c) computing an individual minutia score for each search minutia that has a mating file minutia based on the spatial and angular relationship between the other mating file and search minutiae located within a neighborhood of each search minutia; and
  - (d) summing the individual minutia scores to obtain a final match score indicative of the overall resemblance of the search fingerprint to the file fingerprint.
5. The method described in Claim 4 and further comprising the following steps in the order named, and performed prior to the first step described in Claim 1:
  - (a) sorting the search minutiae into angle order;
  - (b) finding the closest neighbors for each search minutia;
  - (c) sorting the file minutiae into angle order; and
  - (d) computing maximum and minimum coordinates for the file minutia.
6. The method described in Claim 4 and further comprising the following step performed between steps (b) and (c) of Claim 4: terminating the comparison between the minutiae of a search fingerprint and the minutiae of a file fingerprint whenever the degree of registration of the search minutiae with the file minutiae fails to exceed an operator selected threshold.



7. The method described in Claim 5 or 6 wherein the step of rotating and translating the search minutiae to determine the rotation and translation which most nearly brings the search minutiae into registration with the file minutiae comprises:
- (a) rotating the search minutiae through a preselected set of rotations;
  - (b) for each rotated set of search minutia constructing a histogram showing the number of coincident search and file minutiae for various translations of the search minutiae relative to the file minutiae; and
  - (c) determining the rotation and translation to which most nearly brings the search minutia into registration with the file minutiae by comparing the magnitudes of the largest adjacent blocks of entires in each of the histograms.



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8. A device for comparing the minutiae of a search fingerprint (the "search minutiae") with the minutiae of a file fingerprint (the "file minutiae") to determine if the search fingerprint closely resembles the file fingerprint comprising:
- (a) rotating and translating means for rotating and translating the search minutiae to determine the rotation and translation which most nearly brings the search minutiae into registration with the file minutiae;
  - (b) pairing means for pairing mating rotated and translated search and file minutiae;
  - (c) scoring means for computing an individual minutia score for each search minutia that has a mating file minutia based on the spatial and angular relationship between the other mating file and search minutiae located within a neighborhood of each such search minutia; and
  - (d) summing means for summing the individual minutia scores to obtain a final match score indicative of the overall resemblance of the search fingerprint to the file fingerprint.
9. The device described in Claim 8 and further comprising:
- (a) first sorting means for sorting the search minutiae into angle order;
  - (b) finding means for finding the closest neighbors for each search minutia;
  - (c) second sorting means for sorting the file minutiae into angle order; and
  - (d) coordinate means for computing maximum and minimum coordinates for the file minutia.

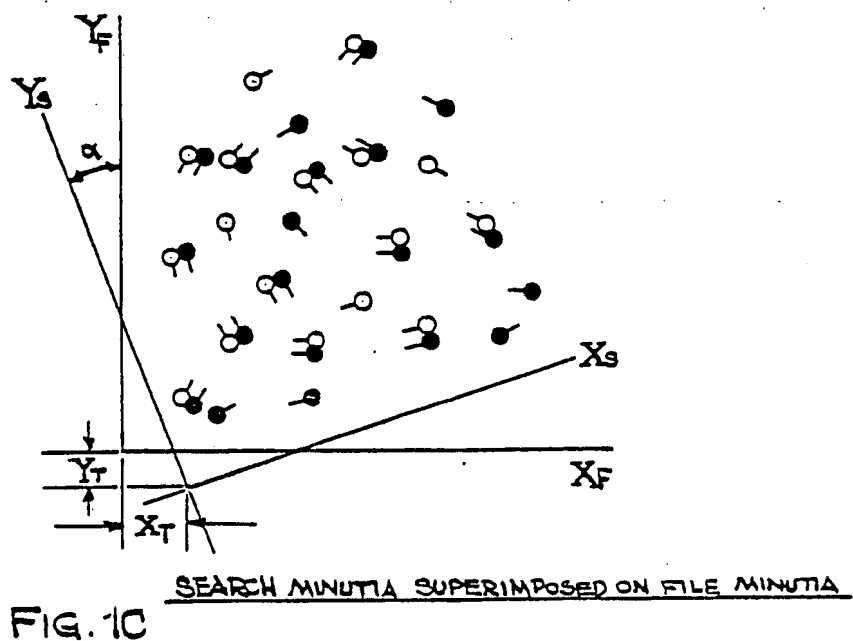
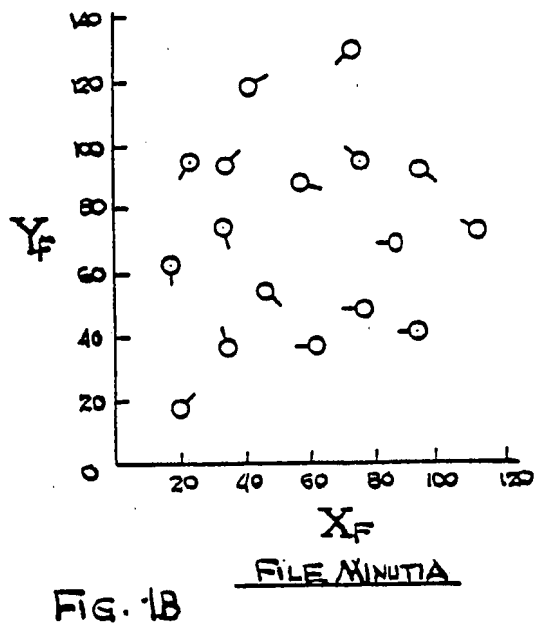
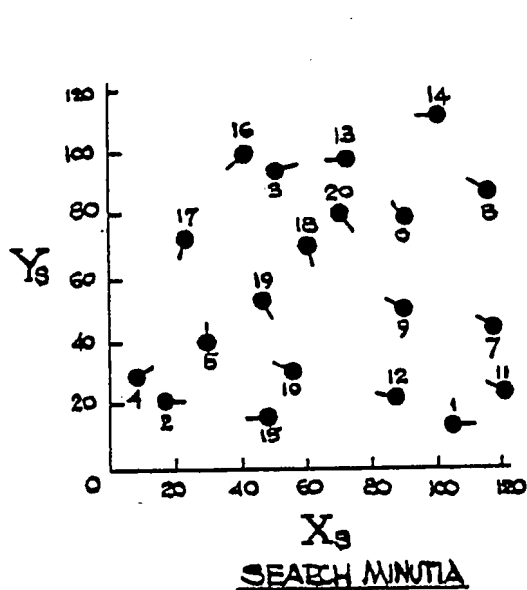


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10. The device described in Claim 8 or 9 and further comprising terminating means for terminating the comparison between the minutiae of a search fingerprint and the minutiae of a file fingerprint whenever the degree of registration of the search minutiae with the file minutiae fails to exceed an operator selected threshold.
11. The device described in Claim 8 or 9 wherein the rotating and translating means for rotating and translating the search minutiae to determine the rotation and translation which most nearly brings the search minutiae into registration with the file minutiae comprises:
  - (a) rotating means for rotating the search minutiae through a preselected set of rotations;
  - (b) for each rotated set of search minutiae constructing means for constructing a histogram showing the number of coincident search and file minutiae for various translations of the search minutiae relative to the file minutiae; and
  - (c) determining means for determining the rotation and translation which most nearly brings the search minutiae into registration with the file minutiae by comparing the magnitudes of the largest adjacent blocks of entries in each of the histograms.

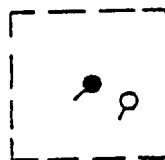
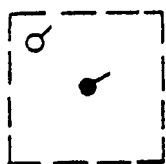


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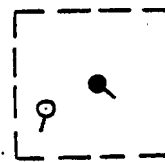
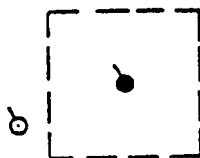


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MATING  
MINUTIA PAIRS

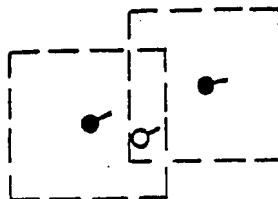
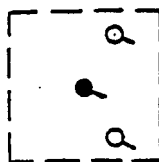
FIG. 2A



NON-MATING  
MINUTIA PAIRS

(ANGLE DIFFERENCE  
TOO LARGE)

FIG. 2B



MULTIPLE MATING  
POSSIBILITIES

FIG. 2C

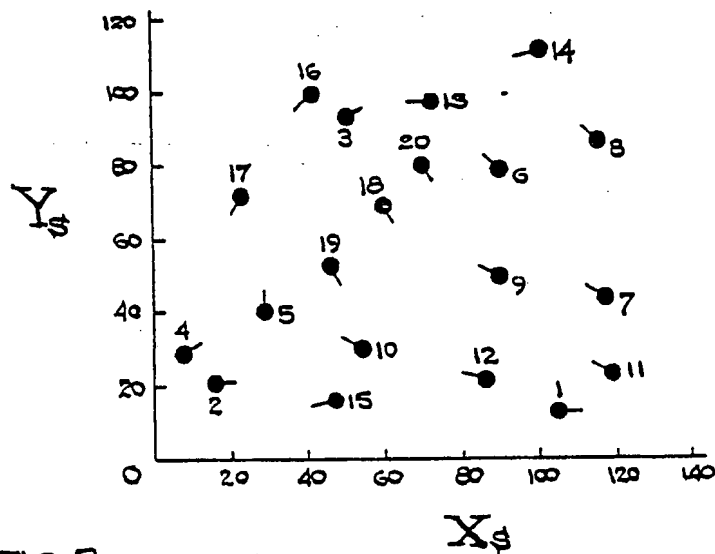
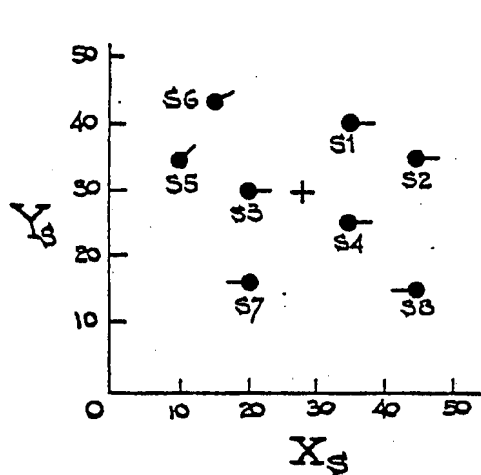


FIG. 3

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SEARCH MINUTIA PATTERNTRANSLATION EQUATIONS:

$$\Delta X = X_S - X_F + 2$$

$$\Delta Y = Y_S - Y_F$$

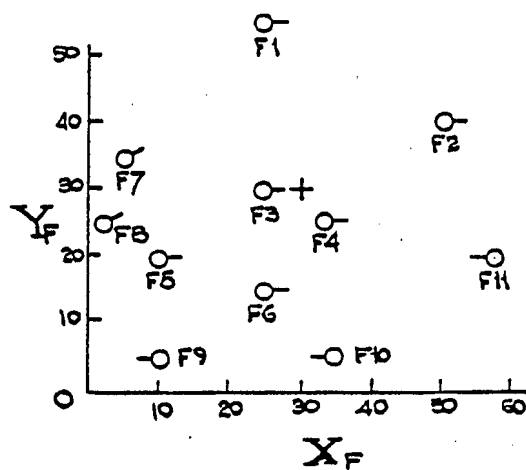
FILE PRINT MINUTIA PATTERN

FIG. 4B

FIG. 4A

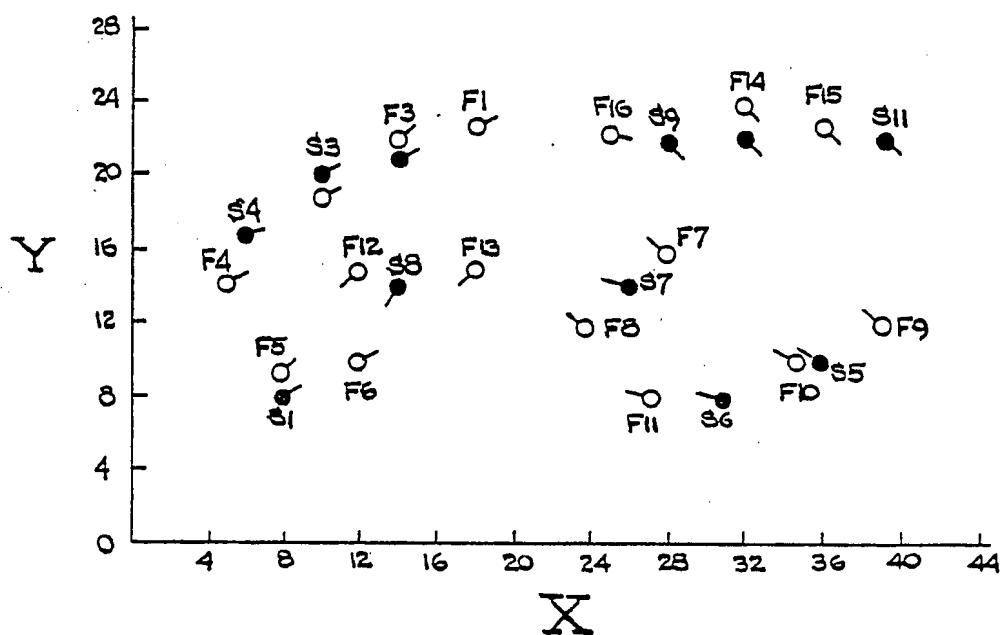


FIG. 7

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$\Delta X$	$\Delta Y$	-26,-25	-24,-23	-22,-21	-20,-19	-18,-17	-16,-15	-14,-13	-12,-11	-10,-9	-8,-7	-6,-5	-4,-3	-2,-1	0	2,3	4,5	6,7	8,9	10,11	12,13	14,15	16,17	18,19	20,21	22,23	24,25	26,27
27,26																					1							
25,24																						1						
23,22																											1	
21,20																												
19,18																												
17,16																												
15,14														1				1										
13,12									1												2	2						
11,10																					4							
9,8																												
7,6																												
5,4										1																	1	
3,2																												
1,0									1									1	1									
-1,-2																												
-3,-4									1																			
-5,-6														1							1							
-7,-8																												
-9,-10																												
-11,-12																												
-13,-14																												
-15,-16									1													1						
-17,-18																												
-19,-20																												
-21,-22																											1	
-23,-24																												
-25,-26												1																

FIG. 5

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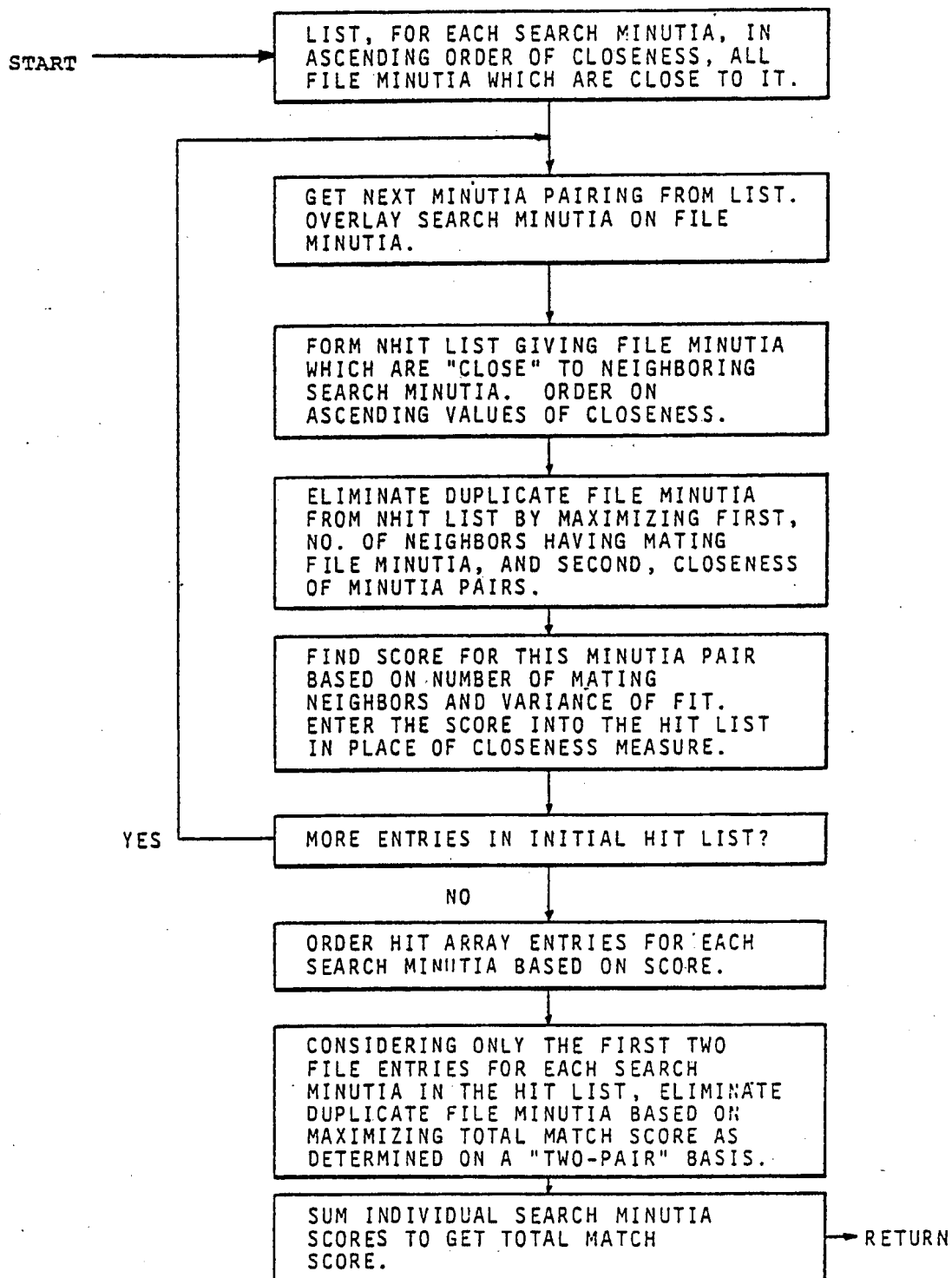
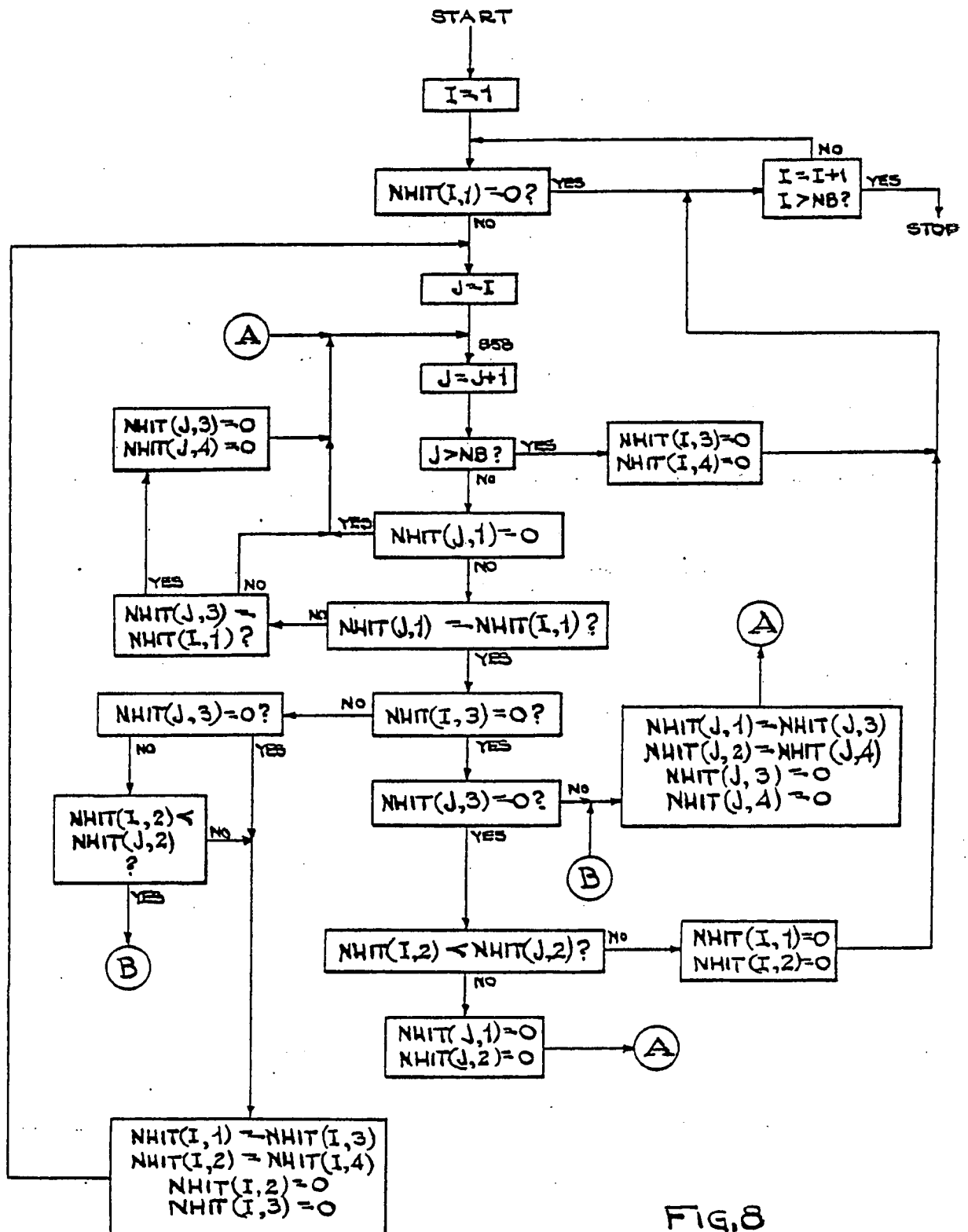


FIG. 6

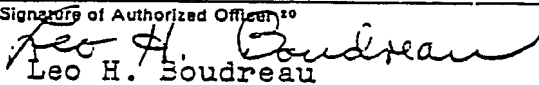
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## INTERNATIONAL SEARCH REPORT

International Application No PCT/US81/01412

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (If several classification symbols apply, indicate all) *		
According to International Patent Classification (IPC) or to both National Classification and IPC		
Int. Cl. <sup>3</sup> G 06K 9/68 U.S. Cl. 340/146.3 E		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched *		
Classification System	Classification Symbols	
U.S.	340/146.3 E, Q, AQ 340/825.3, 825.31, 825.33, 825.34 356/71	
Documentation Searched other than Minimum Documentation to the extent that such Documents are Included in the Fields Searched *		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>14</sup>		
Category *	Citation of Document, <sup>15</sup> with indication, where appropriate, of the relevant passages <sup>17</sup>	Relevant to Claim No. <sup>16</sup>
A	US, A, 4,210,899, Published 1 July 1980, Swonger et al.	1-11
A	US, A, 4,047,154, Published 6 September 1977, Vitols et al.	1-11
X	US, A, 4,185,270, Published 22 January 1980, Fischer II et al.	1, 3-4, 6, 3, 10
X	US, A, 3,638,188, Published 25 January 1972, Pincoffs et al.	1-11
X	US, A, 3,292,149, Published 13 December 1966, Bourne.	1-11
<p>* Special categories of cited documents: <sup>18</sup></p> <p>"A" document defining the general state of the art</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document cited for special reason other than those referred to in the other categories</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but on or after the priority date claimed</p> <p>"T" later document published on or after the international filing date or priority date and not in conflict with the application, but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance</p>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search <sup>2</sup>	Date of Mailing of this International Search Report <sup>3</sup>	
19 January 1982	22 JAN 1982	
International Searching Authority <sup>4</sup>	Signature of Authorized Officer <sup>19</sup>	
ISA/US	 Leo H. Soudreau	

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